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# Direct Study of Quantum Statistics in a Degenerate Bose Gas 

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# Direct Study of Quantum Statistics in a Degenerate Bose Gas 

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## Dissertation

Presented to the Faculty of the Graduate School of The University of Texas at Austin in Partial Fulfillment of the Requirements for the Degree of Doctor of Philosophy

## The University of Texas at Austin

To my parents, Der-San Chuu and Li-Chu Lee, and my brothers, Chih-Pin and Chih-Piao, for their endless love and support.

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# Direct Study of Quantum Statistics in a Degenerate Bose Gas 

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This dissertation describes the experimental setup for obtaining low dimensional Bose-Einstein condensate in a single optical box trap. This novel optical trap type can provide strong confinement in two directions comparable to that which is possible in an optical lattice, but allows single-site control and addressibility. The trap consists of a crossed pair of elongated Hermite-Gaussian $\mathrm{TEM}_{01}$ mode beams supplemented by Gaussian beam end-caps producing a "particle-in-a-box" type geometry. Single atom detection with nearly unit quantum efficiency has been demonstrated and is fully integrated with the new trap.

Using this system, we have directly observed sub-Poissonian atom number
statistics for a degenerate Bose gas with numbers as small as 20 atoms. The observed reduction of number fluctuations is nearly a factor of two below the Poissonian (shot noise) limit. Current limit to Fock state creation for even smaller atom number is also discussed.

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## Chapter 1

## Introduction

This chapter summarizes some basis concepts relevant to the experiments described in this dissertation.

### 1.1 Quantum Degenerate Bose Gas

All particles in nature belong to one of two types: boson or fermion. The total spin of a boson is a multiple integer of $\hbar$, while a fermion has spin of multiple odd integer of $\hbar / 2$. For neutral atoms, since the number of electrons and protons is equal, atoms with an even number of neutrons are bosons while atoms with an odd number of neutrons are fermions. In our experiments, we use ${ }^{87} \mathrm{Rb}$ (rubidium), which has 37 protons and 50 neutrons and thus is a boson. Therefore, some properties of bosons will be discussed in this section.

### 1.1.1 Non-Interacting Bose Gas

For a non-interacting Bose gas, the mean occupation number of the single-particle state with energy $E$ obeys the Bose distribution function [1],

$$
\begin{equation*}
f(E)=\frac{1}{e^{-(E-\mu) / k_{B} T}-1} \tag{1.1}
\end{equation*}
$$

where $\mu$ is the chemical potential, $k_{B}$ is the Boltzmann constant, and $T$ is the temperature of the system. The chemical potential can be determined from the condition that the total atom number $N$ is equal to the sum of all occupancies,

$$
\begin{equation*}
N=\frac{1}{\hbar^{3}} \int \mathrm{~d} r^{3} \mathrm{~d} p^{3} f(E), \tag{1.2}
\end{equation*}
$$

where $r$ and $p$ refer to the position and momentum, respectively, and $\hbar$ is the Plank's constant. Moreover, as can be seen from Eq. (1.1), the mean occupation number is always smaller than $1 / \exp \left[\left(E-E_{\text {min }}\right) / k_{B} T\right]-1$ with $E_{\text {min }}$ being the energy of the ground state; otherwise, the mean occupation number of the ground state would be negative and therefore unphysical.

At high temperature, since the mean occupation number of each state is very small, the chemical potential is much less than the energy of the ground state $E_{\text {min }}$. The mean occupation number is approximated by the Boltzmann distribution function,

$$
\begin{equation*}
f(E) \sim e^{-E / k_{B} T} \tag{1.3}
\end{equation*}
$$

The statistics of an ideal Bose gas thus resembles that of an ideal Boltzmann gas [1], a non-physical system but a useful model.

For atoms trapped in a cylindrically symmetric potential, the energy can be
written as

$$
\begin{equation*}
E=\frac{p^{2}}{2 m}+\frac{m \omega_{r a d}^{2}}{2}\left(x^{2}+y^{2}+\epsilon^{2} z^{2}\right), \tag{1.4}
\end{equation*}
$$

with $\epsilon=\omega_{a x} / \omega_{\text {rad }}$ being the aspect ratio, $\omega_{\text {rad }}$ and $\omega_{a x}$ the trapping frequencies in the radial and axial directions, respectively, and $m$ the atomic mass. Substituting Eq. (1.4) into Eq. (1.3) yields

$$
\begin{equation*}
f(E)=\frac{\hbar^{3} N \lambda}{\left(2 \pi \sigma_{\text {rad }} \sigma_{p}\right)^{3}} \exp \left(-\frac{p^{2}}{2 \sigma_{p}^{2}}\right) \exp \left(-\frac{x^{2}}{2 \sigma_{\text {rad }}^{2}}-\frac{y^{2}}{2 \sigma_{\text {rad }}^{2}}-\frac{z^{2}}{2 \sigma_{a x}^{2}}\right), \tag{1.5}
\end{equation*}
$$

where $\sigma_{i}=\left(k_{B} T / m \omega_{i}^{2}\right)^{1 / 2}$ is the width of the spatial distribution of the atoms in the $i$ direction, and $\sigma_{p}=\left(m k_{B} T\right)^{1 / 2}$ is the width of the momentum distribution of the atoms. Therefore, both of the distributions are Gaussian. Furthermore, the momentum distribution is isotropic but the spatial distribution is anisotropic as the result of the trap shape.

Bose-Einstein condensation appears when the occupation in the ground state becomes macroscopic. The highest temperature for this to be true is defined as the transition temperature $T_{c}$. It can be calculated by assigning all of the atoms to be in the excited states [2], $N=N_{\text {ext }}(\mu=0)$, in the limit of large $N$. For a Bose gas in a three-dimensional harmonic-potential, the result is

$$
\begin{equation*}
T_{c}=\frac{\hbar \bar{\omega} N^{1 / 3}}{k_{B} \zeta(3)}, \tag{1.6}
\end{equation*}
$$

where $\zeta(\alpha)=\sum_{n=1}^{\infty} n^{-\alpha}$ is the Riemann zeta function $(\zeta(3)=1.202)$ and

$$
\begin{equation*}
\bar{\omega}=\left(\omega_{x} \omega_{y} \omega_{z}\right)^{1 / 3} \tag{1.7}
\end{equation*}
$$

is the geometric mean of the trapping frequencies, $\omega_{x}, \omega_{y}$, and $\omega_{z}$. For a trapping
potential with cylindrical symmetry $\omega_{a x}=\epsilon \omega_{r a d}, T_{c}$ is proportional to $\epsilon^{1 / 3}$; therefore, with the same geometric trapping frequency $\bar{\omega}$, an anisotropic trap $(\epsilon \neq 1)$ will have a higher transition temperature than an isotropic trap.

In the case of a three-dimensional box potential with volume $V$, we have

$$
\begin{equation*}
T_{c}=\frac{2 \pi \hbar^{2}}{m}\left(\frac{n}{\zeta(3 / 2)}\right)^{2 / 3} . \tag{1.8}
\end{equation*}
$$

where $n=N / V$ is the density and $\zeta(3 / 2)=2.612$. Rearranging above equation, we obtain the criterion for Bose-Einstein condensation,

$$
\begin{equation*}
n\left(\frac{h^{2}}{2 \pi m k_{B} T_{c}}\right)^{3 / 2}=2.612 \tag{1.9}
\end{equation*}
$$

which states that the distance between individual atoms $n^{-1 / 3}$ is comparable to their de Broglie wavelength $\lambda_{d B}=h / \sqrt{2 \pi m k_{B} T}$ at the transition temperature $T_{c}$. The quantity $\rho=n \lambda_{d B}^{3}$ is also known to be the phase space density, which corresponds to the number of atoms in a volume equal to the cube of the de Broglie wavelength. Sometimes, the criterion for Bose-Einstein condensation is written as $n_{0} \lambda_{d B}=2.612$, where $n_{0}$ is the peak atomic density at the center of the trap.

With the expression for $T_{c}$, the condensate fraction $N_{0} / N=1-N_{e x}\left(T_{c}, \mu=\right.$ $0) / N$ can be calculated as a function of the temperature, which is

$$
\begin{equation*}
N_{0} / N=1-\left(\frac{T}{T_{c}}\right)^{3} \tag{1.10}
\end{equation*}
$$

For finite atom number, the correction reduces the condensate fraction [3] to

$$
\begin{equation*}
N_{0} / N=1-\left(\frac{T}{T_{c}}\right)^{3}-\frac{3 \omega_{m} \zeta(2)}{2 \bar{\omega} \zeta(3)^{2 / 3}}\left(\frac{T}{T_{c}}\right)^{2} N^{-1 / 3} \tag{1.11}
\end{equation*}
$$

$$
\begin{equation*}
\cong 1-\left(\frac{T}{T_{c}}\right)^{3}-2.2 \frac{\omega_{m}}{\bar{\omega}}\left(\frac{T}{T_{c}}\right)^{2} N^{-1 / 3} \tag{1.12}
\end{equation*}
$$

where $\omega_{m}=\left(\omega_{x}+\omega_{x}+\omega_{z}\right) / 3$ is the arithmetic average of the trapping frequencies.
The finite size effect also reduces the transition temperature by

$$
\begin{equation*}
\delta T_{c} / T_{c}=-0.73 \frac{\omega_{m}}{\bar{\omega}}\left(\frac{T}{T_{c}}\right)^{2} N^{-1 / 3} \tag{1.13}
\end{equation*}
$$

which can be seen by setting $N_{0}=0$ in Eq. (1.11) and taking the derivative of it.

### 1.1.2 The Effect of Interaction

In principle, the effect of interaction can be studied by solving exactly the full manybody Schrödinger equation. However, when the system is too large, the numerical calculation becomes impractical. Fortunately, the mean-field approach provides a way to simplify this problem. The basic idea of the approach is to decouple the condensate contribution from the bosonic field operator [3] by noticing that, in the thermodynamic limit $(N \rightarrow \infty)$, the bosonic field operators can be treated like complex numbers.

The situation is further simplified when the system is consist of dilute and cold atoms, since at low temperature (energy) only the two-body collisions are relevant. Therefore, one can use an effective interaction

$$
\begin{equation*}
V\left(\mathbf{r}^{\prime}-\mathbf{r}\right)=g \delta\left(\mathbf{r}^{\prime}-\mathbf{r}\right) \tag{1.14}
\end{equation*}
$$

where the coupling constant $g$ is only related to the scattering length $a_{s}$ via

$$
\begin{equation*}
g=\frac{4 \pi \hbar^{2} a_{s}}{m} \tag{1.15}
\end{equation*}
$$

and independent of the exact shape of the two-body potential.
As a consequence of the mean-field interaction, the ground state in a harmonic potential no longer has the shape of a Gaussian. The atoms are pushed outwards and the central density is flattened. Moreover, interaction plays an important role in the ballistic expansion of a BEC initially trapped in an anisotropic potential. It can be shown that [4], as $\epsilon=\omega_{a x} / \omega_{\text {rad }} \ll 1$, the time evolution of the atomic cloud's size follows

$$
\begin{align*}
R_{r a d}(\tau) & =R_{r a d}(0) \sqrt{1+\tau^{2}}  \tag{1.16}\\
R_{a x}(\tau) & =R_{a x}(0)\left[1+\epsilon^{2}\left(\tau \tan ^{-1} \tau-\ln \sqrt{1+\tau^{2}}\right)+O\left(\epsilon^{4}\right)\right] \tag{1.17}
\end{align*}
$$

where $R_{r a d}$ and $R_{a x}$ are the sizes of the atomic cloud in the radial and axial directions, respectively, and $\tau=\omega_{\text {rad }} t$. Since $\epsilon \ll 1, R_{\text {rad }}$ expands much faster than $R_{a x}$. As the result, a horizontally elliptic BEC will eventually convert to a vertically elliptic shape, a signature of the existence of a BEC.

### 1.1.3 Gross-Pitaevskii Equation

Using the mean-field approach with the effective interaction given in Eq. (1.14), the Heisenberg equation then reads

$$
\begin{equation*}
i \hbar \frac{\partial}{\partial t} \Phi(\mathbf{r}, t)=\left(-\frac{\hbar^{2} \nabla^{2}}{2 m}+V_{e x t}(\mathbf{r})+g|\Phi(\mathbf{r}, t)|^{2}\right) \Phi(\mathbf{r}, t) \tag{1.18}
\end{equation*}
$$

which is known as the Gross-Pitaevskii (GP) Equation. At thermal equilibrium, one can write $\Phi(\mathbf{r}, t)=\phi(\mathbf{r}) \exp ^{-i \mu t / \hbar}$, and the GP equation becomes a nonlinear

Schrödinger equation,

$$
\begin{equation*}
\left(-\frac{\hbar^{2} \nabla^{2}}{2 m}+V_{e x t}(\mathbf{r})+g \phi(\mathbf{r})^{2}\right) \phi(\mathbf{r})=\mu \phi(\mathbf{r}), \tag{1.19}
\end{equation*}
$$

where the nonlinearity comes from the effective interaction. Note that the GP equation is valid (1) in the limit of a dilute gas, i.e. the s-wave scattering length is much smaller than the interatomic separation, and (2) when the atom number is much greater than 1 .

### 1.1.4 Thomas-Fermi Approximation

In a repulsively interacting gas, if the interaction energy $E_{\text {int }}\left(\propto g N n \propto N^{2} a_{s} / a_{h o}\right.$ with $a_{h o}$ being the harmonic oscillator length of the ground state $\left.\left(\hbar / m \omega_{h o}\right)^{1 / 2}\right)$ is much larger than the kinetic energy of each particle $E_{k i n}\left(s \propto N \hbar \omega_{h o} \propto N a_{h o}^{-2}\right)$,

$$
\begin{equation*}
\frac{E_{i n t}}{E_{k i n}} \propto \frac{N a_{s}}{a_{h o}} \gg 1, \tag{1.20}
\end{equation*}
$$

one can neglect the kinetic energy term in Eq. (1.19) and obtain the density profile of the atomic cloud,

$$
\begin{equation*}
n(\mathbf{r})=|\phi(\mathbf{r})|^{2}=g^{-1}\left(\mu-V_{e x t}(\mathbf{r})\right), \quad \mu>V_{\text {ext }}(\mathbf{r}) \tag{1.21}
\end{equation*}
$$

and $n(\mathbf{r})=0$ for $\mu<V_{\text {ext }}(\mathbf{r})$. Therefore, in a harmonic potential $V_{\text {ext }}(\mathbf{r})=$ $(m / 2)\left(\omega_{x}^{2} x^{2}+\omega_{y}^{2} y^{2}+\omega_{z}^{2} z^{2}\right)$, the density distribution is an inverted parabola,

$$
\begin{equation*}
n(\mathbf{r})=\frac{\mu}{g}\left(1-\frac{x^{2}}{R_{T F, x}^{2}}-\frac{y^{2}}{R_{T F, y}^{2}}-\frac{z^{2}}{R_{T F, z}^{2}}\right) \tag{1.22}
\end{equation*}
$$

where

$$
\begin{equation*}
R_{T F, i}=\sqrt{\frac{2 \mu}{m \omega_{i}^{2}}} \tag{1.23}
\end{equation*}
$$

is the Thomas-Fermi radius in the $i$ direction.
The chemical potential $\mu$ can be found from the normalization condition,

$$
\begin{equation*}
N=\int \mathrm{d} \mathbf{r}|\phi(\mathbf{r})|^{2} \tag{1.24}
\end{equation*}
$$

For a harmonic potential, the result is

$$
\begin{equation*}
\mu=\frac{\hbar \bar{\omega}}{2}\left(\frac{15 N a_{s}}{\bar{a}}\right)^{2 / 5} \tag{1.25}
\end{equation*}
$$

where $\bar{a}=(\hbar / m \bar{\omega})^{1 / 2}$ is the harmonic oscillator length defined by the geometric mean of the trapping frequencies.

### 1.2 Detection of Atoms

Two detection schemes have been used in our experiments: absorption imaging and fluorescence detection. Absorption imaging has the advantage of showing the spatial information but has a poor resolution when the atom number is small. To compensate for this, fluorescence detection is used for small atom number. However, since the fluorescence detection is accomplished inside a magnetic trap, the spatial information is lost. This section describes the basic idea behind the two detection schemes.

### 1.2.1 Absorption Imaging

When a laser beam travels through a sample of atoms, the light is scattered by the atoms and thus intensity loss results. The scattered intensity per length in the direction of beam propagation is given by $\mathrm{d} I / \mathrm{d} z=-\hbar \omega R_{s c} n(\mathbf{r})$, where $\omega$ is the laser frequency multiplied by $2 \pi$ and $n(\mathbf{r})$ is the density distribution of the atoms. $R_{s c}$ is the total scattering rate of light from the laser field [5]:

$$
\begin{equation*}
R_{s c}=\left(\frac{\Gamma}{2}\right) \frac{I / I_{s a t}}{1+4(\Delta / \Gamma)^{2}+I / I_{s a t}}, \tag{1.26}
\end{equation*}
$$

where $\Delta$ is the detuning of the laser field from the atomic resonance, $\Gamma$ is the natural line width (or the decay rate of the excited state) of the atoms, and $I_{\text {sat }}$ is the saturation intensity given by

$$
\begin{equation*}
I_{s a t}=\frac{\hbar \omega^{3} \Gamma}{12 \pi c^{2}} \tag{1.27}
\end{equation*}
$$

For low light intensity ( $I / I_{s a t} \ll 1$ ), the absorption rate is then given by

$$
\begin{equation*}
\frac{\mathrm{d} I}{\mathrm{~d} z}=-\sigma n(\mathbf{r}) I \tag{1.28}
\end{equation*}
$$

where $\sigma$ is the scattering cross section,

$$
\begin{equation*}
\sigma=\frac{\sigma_{0}}{1+4(\Delta / \Gamma)^{2}} \tag{1.29}
\end{equation*}
$$

with $\sigma_{0}=3 \lambda^{2} / 2 \pi$ being the on-resonance scattering cross section. Eq. (1.28) is also known as Beer's law. In practice, if the atoms are not in the state resonant with the laser, one should instead use $\sigma^{\prime}=C^{2} \sigma$, with $C$ being the Clebsch Gordon coefficient, to account for the fact that some atoms might be pumped to a non-detectable state.

By integrating Eq. (1.28), the beam intensity after passing through the cloud of atoms can be found to be

$$
\begin{equation*}
I=I_{0} \exp \left(-\int \sigma n(\mathbf{r}) \mathrm{d} z\right) \tag{1.30}
\end{equation*}
$$

where $I_{0}$ is the incident beam intensity. Therefore, measuring the beam intensities before $\left(I_{0}\right)$ and after $(I)$ passing through the cloud (or traveling through no atoms $\left(I_{0}\right)$ and atoms $\left.(I)\right)$ yields the optical density,

$$
\begin{equation*}
D_{o p t}(x, y)=-\ln \left(\frac{I}{I_{0}}\right)=\sigma \int n(\mathbf{r}) \mathrm{d} z \tag{1.31}
\end{equation*}
$$

which is proportional to the integrated atomic density. Furthermore, the atom number can be obtained by integrating the optical density,

$$
\begin{equation*}
N=\sigma^{-1} \iint D_{o p t}(x, y) \mathrm{d} x \mathrm{~d} y \tag{1.32}
\end{equation*}
$$

By analyzing the density distribution, one can obtain information more than the spatial distribution of the atoms. Consider a weakly interacting cloud of atoms, at temperature $T \gg T_{c}$, trapped in a harmonic potential. The initial density distribution can be found by integrating the Boltzmann distribution function in the whole momentum space, which gives

$$
\begin{equation*}
n(\mathbf{r})=\frac{N}{\sigma_{r}(0)^{3}(2 \pi)^{3 / 2}} \exp \left(-\frac{\mathbf{r}^{2}}{2 \sigma_{r}(0)^{2}}\right) \tag{1.33}
\end{equation*}
$$

where $\sigma_{r}(0)$ is the width of the density distribution at $t=0$. Then, the atoms are suddenly released from the trap and expand ballistically - a process called time-of-flight (TOF). Since the time evolution of a Gaussian is a Gaussian with the
convolution between the spatial and momentum space, the density distribution at a later time $t$ is given by

$$
\begin{equation*}
n(\mathbf{r})=\frac{N}{\sigma_{r}(t)^{3}(2 \pi)^{3 / 2}} \exp \left(-\frac{\mathbf{r}^{2}}{2 \sigma_{r}(t)^{2}}\right), \tag{1.34}
\end{equation*}
$$

with

$$
\begin{equation*}
\sigma_{r}(t)^{2}=\sigma_{r}(0)^{2}+\frac{\sigma_{p}(0)^{2}}{m^{2}} t^{2}, \tag{1.35}
\end{equation*}
$$

where $\sigma_{p}(0)=\left(m k_{B} T\right)^{1 / 2}$ is the width of the momentum distribution at $t=0$ similar to the case in Eq. (1.5). Therefore, one can measure the time evolution of $\sigma_{r}(t)$ and obtain the temperature of the atomic cloud by fitting it with Eq. (1.35).

For a long TOF in which the size of the atomic cloud is much larger than the size at $t=0$, the density distribution (Eq. (1.34)) resembles the momentum distribution at $t=0$, that is,

$$
\begin{equation*}
n(\mathbf{r}, t \rightarrow \infty)=n(\mathbf{p}, t=0) \propto \exp \left(-\frac{\mathbf{p}^{2}}{2 \sigma_{p}(0)^{2}}\right) \tag{1.36}
\end{equation*}
$$

where $\mathbf{p}=m \mathbf{r} / t$. Therefore, by measuring the width of the density distribution $\tilde{\sigma_{r}}$ of the atoms in a long TOF, the temperature of the atomic cloud can be obtained via

$$
\begin{equation*}
T=\frac{m{\tilde{\sigma_{r}}}^{2}}{k_{B} t^{2}} . \tag{1.37}
\end{equation*}
$$

### 1.2.2 Fluorescence Detection

Fluorescence detection is essentially equivalent to absorption imaging. In absorption imaging, one detects the photons being absorbed; in fluorescence detection, one detects the photons being re-emitted - or scattered. Therefore, in both methods, the same photons are being measured. However, the direction of the scattering
is random in nature, therefore the signal of the fluorescence detection is usually a factor of 100 smaller [6]. Nevertheless, since fluorescence detection is not strongly dependent on the intensity profile of the beam, it is less influenced by the fluctuation of the beam intensity.

### 1.3 Coherent Manipulation of Atoms

Atoms can be manipulated in a manner analogous to light. In order to preserve the coherence of the atoms during the manipulation, one can use either magnetic fields or far-detuned laser light. In this section, the principles of magnetic and optical trapping are introduced.

### 1.3.1 Magnetic Trapping

The magnetic force felt by an atom in a magnetic field arises from the interaction of its magnetic dipole moment with the external magnetic field strength, known as the Zeeman effect in which the energy of the atoms is dependent on the spatial variation of the magnetic field. At low magnetic field, the potential is given by

$$
\begin{equation*}
U_{B}=m_{F} g_{F} \mu_{B} B(\mathbf{r}), \tag{1.38}
\end{equation*}
$$

where $m_{F}=-F \cdots F$ is the quantum number of the Zeeman sublevels, $F$ refers to the quantum number of the hyperfine energy levels, $g_{F}$ is the Lande factor, $\mu_{B}=h \cdot 1.4 \mathrm{MHz} / \mathrm{G}$ is the Bohr magneton with $h$ being the Planck's constant, and $B(\mathbf{r})$ is the magnetic field. Therefore, in order to create a trap for atoms $U_{B}$ needs to have a minimum, which means $m_{F} g_{F}>0$. For the ground states of a ${ }^{87} \mathrm{Rb}$ atom, this condition is only fulfilled for the states $\left(F, m_{F}\right)=(1,-1),(2,1),(2,2)$.

Among these states, the $(2,2)$ state feels the strongest confinement for the same magnetic field.

The magnetic trap used in our experiments is the quadrupole Ioffe configuration (QUIC) trap [7], which has three coils arranged in a shape of "U" with two quadrupole coils on the sides and Ioffe coil at the middle. When only the two quadrupole coils are used, it produces a magnetic field with a zero at the center,

$$
\begin{equation*}
\mathbf{B}(x, y, z)=\frac{B^{\prime}}{2}(x \mathbf{x}+y \mathbf{y}+2 z \mathbf{z}) \tag{1.39}
\end{equation*}
$$

where $B^{\prime}$ is the gradient of the magnetic field in the axial direction of the pair, with the potential given by

$$
\begin{equation*}
U_{B}(\mathbf{r})=\frac{1}{2} m_{F} g_{F} \mu_{B} B^{\prime} \sqrt{x^{2}+y^{2}+4 z^{2}} \tag{1.40}
\end{equation*}
$$

The direction of the magnetic field therefore changes rapidly near the center where $\mathbf{B}(0)=0$. As a result, atoms moving across zero magnetic field might not be able to follow the change of $\mathbf{B}(\mathbf{r})$ adiabatically and become untrapped because of the change of the sign of $U_{B}$. This is known as Majorana spin flips.

The purpose of the Ioffe coil is to add an offset to the magnetic field. The magnetic field with the addition of the Ioffe coil is given by

$$
\begin{align*}
\mathbf{B}(x, y, z) & =\left(B^{\prime} x-C_{a x} x z\right) \mathbf{x}  \tag{1.41}\\
& -\left(B^{\prime} y+C_{a x} y z\right) \mathbf{y}  \tag{1.42}\\
& +\left[B_{0}+\frac{1}{2} C_{a x}\left(z^{2}-\frac{x^{2}+y^{2}}{2}\right)\right] \mathbf{z} \tag{1.43}
\end{align*}
$$

where $B_{0}$ is the magnetic field offset and $C_{a x}$ is the curvature of the magnetic field
along the axis of the two quadrupole coils. The magnitude of the field near the center is

$$
\begin{equation*}
B(\mathbf{r}) \cong B_{0}+\frac{1}{2} C_{r a d}\left(x^{2}+y^{2}\right)+\frac{1}{2} C_{a x} z^{2}, \tag{1.44}
\end{equation*}
$$

where $C_{\text {rad }}$ is the curvature of the magnetic field in the radial direction, given by

$$
\begin{equation*}
C_{r a d}=\frac{B^{\prime 2}}{B_{0}}-\frac{C_{a x}}{2} . \tag{1.45}
\end{equation*}
$$

In typical operation, $C_{r a d} \cong B^{\prime 2} / B_{0}$ and the potential experienced by the atoms is then

$$
\begin{equation*}
U_{B}(\mathbf{r})=m_{F} g_{F} \mu_{B} B_{0}+\frac{m}{2}\left(\omega_{z}^{2} z^{2}+\omega_{\rho}^{2} \rho^{2}\right), \tag{1.46}
\end{equation*}
$$

where $m$ is the atomic mass, $\rho^{2}=x^{2}+y^{2}$, and $\omega_{i}$ is the trapping frequency in the $i$ direction given by

$$
\begin{align*}
& \omega_{z}=\left(\frac{m_{F} g_{F} \mu_{B} C_{a x}}{m}\right)^{1 / 2},  \tag{1.47}\\
& \omega_{\rho}=\left(\frac{m_{F} g_{F} \mu_{B} B^{\prime 2}}{m B_{0}}\right)^{1 / 2} . \tag{1.48}
\end{align*}
$$

### 1.3.2 Optical Trapping

Atoms do not have permanent electric dipole moments; however, atoms can interact with a light field through the induced dipole moment. A common case is an atom sitting in a laser field which has an oscillating electric field.

Consider a linearly polarized, focused laser beam with a Gaussian intensity profile,

$$
\begin{equation*}
I(\mathbf{r})=I_{0} \frac{\exp \left[-2 r^{2} / w(z)^{2}\right]}{1+\left(z / z_{R}\right)^{2}} \tag{1.49}
\end{equation*}
$$

where $I_{0}=2 P / \pi w_{0}^{2}$ is the peak intensity with $P$ being the laser power and $w_{0}$ the
$1 / e^{2}$ radius of the beam at the focus, and $w(z)=w_{0} \sqrt{1+\left(z / z_{R}\right)^{2}}$ is the $1 / e^{2}$ radius of the beam along the direction of the beam propagation with $z_{R}=w_{0}^{2} \pi / \lambda$ being the beam's Rayleigh length and $\lambda$ the wavelength of the laser. For alkali atoms, the trapping potential resulting from this laser beam is then given by [8]

$$
\begin{align*}
U(\mathbf{r}) & =\frac{\pi c^{2} \Gamma}{2 \omega_{0}^{3}}\left(\frac{2}{\Delta_{2, F}}+\frac{1}{\Delta_{1, F}}\right) I(\mathbf{r})  \tag{1.50}\\
& =U_{0} \frac{\exp \left[-2 r^{2} / w(z)^{2}\right]}{1+\left(z / z_{R}\right)^{2}} \tag{1.51}
\end{align*}
$$

where $c$ is the speed of sound, $\Gamma$ is the natural line width, $\omega_{0}$ is the atomic transition frequency, and $U_{0}$ is the trap depth. $\Delta_{1, F}$ and $\Delta_{2, F}$ are the laser detunings corresponding to the $D_{1}$ and $D_{2}$ lines, respectively. For a blue-detuned laser $\left(\Delta_{1, F}, \Delta_{2, F}>0\right)$, the resulting potential is thus repulsive and pushes the atoms away from the focus (intensity maximum). On the other hand, a red-detuned laser $\left(\Delta_{1, F}, \Delta_{2, F}<0\right)$ produces an attractive potential which traps the atoms at the focus.

When the atoms are localized around the trap center, the potential can be approximated by a harmonic potential,

$$
\begin{equation*}
U(\mathbf{r})=U_{0}\left(1-\frac{2 r^{2}}{w_{0}^{2}}-\frac{z^{2}}{z_{R}^{2}}\right) \tag{1.52}
\end{equation*}
$$

The trapping frequencies are thus given by

$$
\begin{align*}
\omega_{r a d} & =\sqrt{\frac{4 U_{0}}{m w_{0}^{2}}}  \tag{1.53}\\
\omega_{a x} & =\sqrt{\frac{2 U_{0}}{m z_{R}^{2}}} \tag{1.54}
\end{align*}
$$

where $\omega_{a x}=\left(\lambda / \sqrt{2} \pi w_{0}\right) \omega_{\text {rad }}$ is usually much smaller than $\omega_{\text {rad }}$ because $\lambda \ll w_{0}$.

It is also important to consider the rate at which the atoms absorb the light or being heated up. For detuning much larger than the hyperfine splitting as in most optical traps, the scattering rate is given by [8]

$$
\begin{equation*}
\Gamma_{s c}(\mathbf{r})=\frac{\pi c^{2} \Gamma^{2}}{2 \hbar \omega_{0}^{3}}\left(\frac{2}{\Delta_{2, F}^{2}}+\frac{1}{\Delta_{1, F}^{2}}\right) I(\mathbf{r}) . \tag{1.55}
\end{equation*}
$$

Based on the observation that the trapping potential scales as $I / \Delta$ whereas the scattering rate scales as $I / \Delta^{2}$, one can therefore use a laser of large detuning to reduce the scattering rate.

## Chapter 2

## Experimental Apparatus

The experiments described in this dissertation are all carried out in optical traps. Although the configurations of the traps might be different, the experimental apparatus used to create these traps is very similar. This chapter describes the experimental apparatus we use to perform these experiments.

### 2.1 Vacuum Chamber

The environment at room temperature can easily heat up the cooled atoms in our experiment which typically have a temperature of a few hundred nK (about nine orders of magnitude smaller than the room temperature). Therefore, one needs a ultra-high vacuum (UHV) chamber to prevent the cooled atoms from colliding with the atoms at room temperature. On the other hand, one needs a high atomic density (and thus bad vacuum) in order to collect a reasonable amount of atoms in a short time.

To meet these two requirements, we have a high vacuum (HV) chamber (upper chamber) at the vapor pressure of rubidium ( $\sim 10^{-8}$ torr), which allows for


Figure 2.1: In order to have a fast loading rate of atoms and avoid collisions between cooled atoms and thermal atoms at room temperature, the vacuum chamber consists of a high vacuum chamber (A) at the vapor pressure of rubidium and a ultra-high vacuum chamber (C) with a middle chamber (B) connecting the two and serving as a pumping regime.
fast loading of atoms, and an UHV chamber (lower chamber) where we perform all the experiments. A middle chamber is connected between the upper and lower chambers to serve as a pumping region and thus maintains the pressure difference between the two chambers. Fig. 2.1 shows the main parts of our vacuum chamber.

### 2.1.1 Upper Vacuum Chamber

The upper chamber, as shown in Fig. 2.2, basically comprises three parts: a glass cell, a differential pumping tube, and a rubidium reservoir.

The glass cell is made by sealing a rectangular cell to a tubular adapter (shown as A in Fig. 2.2(a) and (b)). The rectangular cell has a outer dimension of $4 " \times 1.25 " \times 1.25$ " with a thickness of $1 / 4$ " and is made of Pyrex without antireflection coating. Pyrex is a brand name of borosilicate glass, which consists of about $70 \%$ silica, $10 \%$ boric oxide, $8 \%$ sodium oxide, and $1 \%$ calcium oxide, and has a thermal expansion coefficient about one-third that of ordinary glass. The tubular adaptor is a 304 stainless steel-to-quartz adapter with a Pyrex-to-quartz graded seal (Larson Electronic Glass, SQ-150-F2) and has a 2.75" CF type flange on one end. The sealing and the rectangular cell are all made by Technical Glass Inc.

The differential pumping tube is a 6.75 " long 304 stainless steel tube with an outer diameter of 0.6 " (shown as B in Fig. 2.2). The inner diameter increases in five steps from $1 / 8^{\prime \prime}$ at the top to $3 / 8$ " at the bottom (diameter-length: 0.125 "$1 ", 0.188 "-1.25 ", 0.25 "-1.5 ", 0.313 "-1.5 ", 0.375 "-1.443 ")$, which forms a maximum capture angle of $\sim 2^{\circ}$ defined by the sizes of the upper and lower MOTs and thus allows for an optimal loading rate of atoms. The successive steps also reduce the conductance between the upper and lower chamber (compared to a single diameter tube), and therefore maintains a pressure difference between the upper and lower


Figure 2.2: Upper vacuum chamber. (a) Different parts are labeled as glass cell (A), differential pumping tube (B), connection part (C) between the glass cell and the all-metal valve (D), rubidium reservoir (E), and pinch-off tube (F) to the roughingturbo pump station. (b) A closer view of upper vacuum chamber. (c) Cross section of the welded parts around the differential pumping tube.
chamber by about three orders of magnitude.
The conductance is calculated to be $C=52.7 \mathrm{~mL} / \mathrm{s}$ under our experimental conditions (HV, rubidium, $20^{\circ}$ ) by using the conductance formula for a tube with a circular cross section in the molecular flow region [9]

$$
\begin{equation*}
C=2.6 \times 10^{-4} \bar{v} \frac{D^{3}}{L} \mathrm{Ls}^{-1} \tag{2.1}
\end{equation*}
$$

where $D$ and $L$ are the diameter and length measured in cm , respectively, $\bar{v}=$ $\sqrt{8 k_{B} T / \pi m}$ is the average molecular velocity in $\mathrm{cm} \mathrm{s}^{-1}, k_{B}$ is Boltzmann's constant, $T$ is the temperature in K , and $m$ is the atomic mass in kg . Therefore, the differential pumping tube leads to a pressure difference of three orders of magnitude between the upper and lower chambers, $P_{\text {upper }} / P_{\text {lower }}=1+S_{\mathrm{P}} / C \sim 10^{3}[9]$, where $S_{\mathrm{P}}=75 \mathrm{~L} / \mathrm{s}$ is the pumping speed of the vacuum pump in the lower chamber.

At the top of the differential pumping tube, a small $45^{\circ}$ wedge has been lopped off to allow the laser beams used in the magneto-optical trap (MOT) to pass without being clipped. The bottom part of the tube is welded to a 4.5 " rotatable blank flange (MDC, 100018) which is then welded to a rotatable 2.75 " half nipple (MDC, 401028). A tortuous $1 / 2$ " tubing (MDC, 480002) is welded to the side of the nipple reducer, whose other end is welded with a 2.75 " rotatable flange (MDC, 100008) to which a $2.5 "$ all-metal valve (Nor-Cal Products Inc, AMV-1502-CF) is attached. A $1-1 / 3$ " tee is connected to the other end of the all-metal valve by a zero length 2.75 "-to- $1-1 / 3$ " reducer. A cross section of the parts welded around the differential pumping tube is shown schematically in Fig. 2.2 (c).

Two $1 / 2$ " copper pinch-off tubes (Huntington, CPT-133-050) with a flange size of $1-1 / 3$ " are attached on both sides of the tee. One pinch-off tube is used to connect to the roughing-turbo pump station during the vacuum chamber's bake-out
and is pinched when the chamber is disconnected from the pump station. The other pinch-off tube, being pinched in advance, acts as a rubidium reservoir in which a onegram ampoule (Technical Glass Inc) of $99.9 \%$ rubidium metal (ESPI, purity 3 N 5 ) is stored. The ampoule can be broken by pliers from outside, which should be done before the pump station is removed from the vacuum system. The all-metal valve thus can be used to (1) regulate the pressure of rubidium atoms inside the vacuum chamber, which is continuously pumped away, and (2) seal the vacuum system from the atmosphere pressure when the rubidium ampoule needs to be replaced.

### 2.1.2 Middle Vacuum Chamber

The middle chamber, a ten-way cross modified from a six-way cross with 4.5 " ConFlat (CF) flanges (Fig. 2.3), acts as a pumping region to keep the ultra-high vacuum in the lower chamber. Four additional $1.5 "$ tubes with $2.75 "$ CF flanges are welded between the $4.5 "$ ports in one plane around the chamber with the other two 4.5 " ports used to connect with the upper and lower chambers.

A $75 \mathrm{~L} / \mathrm{s}$ ion pump (Varian, 919-0103, refurbished by Duniway Stockroom) is attached to one $4.5 "$ port, while a titanium sublimation pump (Duniway Stockroom, TSP-275-003), specified to have a pumping speed of $300 \mathrm{~L} / \mathrm{s}$, is attached to the other port. The ion pump and the titanium sublimation pump are labeled as $A$ and $B$ in Fig. 2.3, respectively. Extra attention has to be paid to operate the titanium sublimation pump in order not to burn out the filaments while using the pump. According to our experience, the power (not the current) should be increased to maximum in five minutes at a constant rate. After waiting for on minute, the power should then be ramped off at a constant rate in another five minutes.

In addition to the two vacuum pumps, there is a Bayard-Alpert type ion


Figure 2.3: Middle vacuum chamber. A top view of the whole vacuum chamber shows the basic structure and elements attached to it, where A is the ion pump, B is the titanium sublimation pump, C is the ion gauge, and D is the all-metal valve used for connecting to a roughing-turbo pump station during the bake-out.
gauge (HPS, NTube) attached to one of the $2.75 "$ ports. The ion gauge can only be used as a reference, not an accurate measurement of the pressure, since the pressure in the lower chamber is about $10^{-11}$ torr which is lower than the minimum pressure the ion gauge can measure ( $10^{-10}$ torr).

### 2.1.3 Lower Vacuum Chamber

The lower chamber, like the upper chamber, is also a glass cell (Hellma Cells). The glass cell is composed of a rectangular cell and a circular plate, labeled as A and B in Fig. 2.4(a), respectively. The rectangular cell has an outer dimension of 30 $\mathrm{mm} \times 30 \mathrm{~mm} \times 115 \mathrm{~mm}$, a wall thickness of 5 mm , and is made of Spectrosil, which is a synthetic quartz of high purity and very good homogeneity. The circular plate is also made of Spectrosil and has a diameter of 75 mm , thickness of 17 mm , and a 20 mm hole in the center. The glass cell is assembled by the means of "optical contacting," in which each individual piece is joined together by molecular attraction between two surfaces but not by glue. In order for this to work, each surface has to be polished very smoothly and be free of dust. After they are brought together, the glass cell is then heated up under the melting point of the glass to reinforce the bond between the joined surfaces.

The glass cell is attached to the middle chamber via a Helicoflex seal (Garlock Helicoflex, H-307330 REV NC), a type of glass-to-metal seal. The Helicoflex seal (Fig. 2.4(b)) has two delta shape ridges (labeled as D in Fig. 2.4(b)) on the top and bottom of the "metal jacket," (labeled as E in Fig. 2.4(b)) which envelops a helical spring. As the "metal jacket" is under compression, the ridges cut into the glass and form a seal by face-to-face contact. During the compression, the helical spring provides an elastic force, pushing the ridges into the glass. A flange


Figure 2.4: Lower vacuum chamber. (a) The lower chamber is basically a glass cell, consisting of a rectangular cell (A) and a circular plate (B). C shows the vestige caused by the Helicoflex seal. (b) Helicoflex seal is composed of a "metal jacket" (E), enveloping a helical spring, with two delta shape ridges (D) on the top and bottom.
between the middle and lower chambers is therefore specially designed to create such a compression. A vestige caused by the seal can be seen in Fig. 2.4(a), labeled as C.

### 2.2 Near Resonant Lasers

Lasers with frequencies near the transition frequencies of the rubidium $\left({ }^{87} \mathrm{Rb}\right)$ atom's $D_{2}$ line ( $\sim 780 \mathrm{~nm}$ ) are used in our experiment for many purposes. These include the MOT and repump beams for the magneto-optical trap (MOT), the probe beam for imaging, the push beam for moving the atoms from one place to another, and the optical pumping beam for manipulating the internal states of the atoms. The frequencies of these beams relative to the level spacings of the ${ }^{87} \mathrm{Rb} \mathrm{D}_{2}$ transition hyperfine structure are shown in Fig. 2.5.

In order to produce enough power in each beam, two Littrow-configured grating-stabilized diode laser systems $[10,11,12]$ are used in the experiments. One, locked to the $F=1 \rightarrow 1 / 2$ crossover transition, is used to create the repump beam; and the second, red-shifted by 147 MHz in frequency and locked to the $F=2 \rightarrow 2 / 3$ crossover transition, is used to injection-lock three free-running diode lasers which distribute into the rest of the beams.

### 2.2.1 The Repump Laser

The repump laser used in our experiment is a grating-stabilized diode laser system constructed in the Littrow configuration (Fig. 2.6), in which a grating sits in front of the diode laser and the first order diffraction is directly reflected back along the incident beam while the zeroth order is used as the output beam. The diode laser (Intelite Inc, MLD780-100S5P) has a single-mode output at a typical lasing


Figure 2.5: Different frequencies of near resonant lasers used in the experiment. Also shown are the level spacings of $\mathrm{Rb}^{87} \mathrm{D}_{2}$ transition hyperfine structure.


Figure 2.6: Two Littrow-configured grating-stabilized diode laser systems are used in the experiment to produce the repump beam and to injection-lock three freerunning diode lasers which distribute into rest of the beams.
wavelength of 780 nm with a maximum power of 100 mW and an operating current of 140 mA . The linewidth is measured to have a upper bound of 1.5 MHz .

The diode is housed in a $\mathrm{f}=4.5 \mathrm{~mm}$ collimation tube (Thorlabs, LT230P-B) with a numerical aperture of 0.55 . The tube is then mounted in a housing made of bronze which is non-fatigable and has a high thermal conductivity. The bronze housing sits on another bronze baseplate, where a $50 \mathrm{k} \Omega$ glass-bead thermistor (Fenwal Electronics) is inserted to monitor the temperature and sends the feedback to a thermoelectric cooler (Melcor, CP1.0-127-05L) attached to the bottom of the baseplate. An aluminum plate is in contact with the thermoelectric cooler on the other side with a damping material attached below, serving as a heat reservoir. The laser system is isolated from the outside by a Lucite cover.

The current sent to the diode is controlled by a homebuilt PID circuit [13]. In order to protect the diode from electric shocks, several forward and reverse bias diodes are connected near to the diode as suggested in [11]. In addition, a ferrite bead and a low pass filter are added as a noise filter. The temperature of the diode, on the other hand, is controlled by a unit designed and produced by Leo Hollberg's group at NIST-Boulder.

A grating is mounted at an angle with respect to the diode. The grating (Edmund Scientific), with a 500 A gold coating [14, 15], has a size of $12.5 \mathrm{~mm} \times 12.5$ mm , a ruling of $R=1200$ grooves $/ \mathrm{mm}$, and a blazed angle of $17^{\circ}$. The angle can be determined from the Littrow configuration,

$$
\begin{equation*}
\lambda=2 d \sin \alpha, \tag{2.2}
\end{equation*}
$$

where $d=1 / R$ is the spacing of the grooves and $\lambda=780 \mathrm{~nm}$ is the wavelength of the laser beam. In order to operate the diode on one of the ${ }^{87} \mathrm{Rb}$ absorption lines with


Figure 2.7: Beam distribution and layout of saturated absorption spectroscopy for the repump laser. The optical isolator is a model 713 by ConOptics and the lock-in amplifier is a model SR510 by SRS.
single mode, a proper alignment of the angle of the grating with respect to the diode is needed (a description of the alignment procedure can be found in [16]). The angle of the grating can be mechanically adjusted by two fine-adjustment screws (Newport, 9300-series). In addition, a stack of three piezo discs (American Piezo Ceramics, 8 $\mathrm{mm} \times 2.54 \mathrm{~mm}, 1.35 \mu \mathrm{~m} / \mathrm{kV})$ is placed between one of the fine-adjustment screws and the grating for the modulation purpose in the saturated absorption spectroscopy. The piezo stack is driven by a high-voltage amplifier (Trek, 601B).

The frequency of the repump laser is locked to the $F=1 \rightarrow 1 / 2$ crossover


Figure 2.8: Saturated absorption spectrum for the ${ }^{87} \mathrm{Rb} \mathrm{D}_{2}$ line $F=1 \rightarrow F^{\prime}$ hyperfine manifold. The repump laser is locked to the $F=1 \rightarrow 1 / 2$ crossover transition.
transition of the ${ }^{87} \mathrm{Rb} \mathrm{D}_{2}$ line through the use of the saturated absorption spectroscopy, which is the strongest dispersive line of the $F=1 \rightarrow F^{\prime}$ transitions. Fig. 2.7 shows schematically the setup of the optics and the electronics for the spectroscopy. The dispersive error signal is obtained by dithering the laser frequency via a small 20 kHz modulation of the piezo stack. The measured spectrum for our setup is shown in Fig. 2.8 as well as the corresponding transitions. Fig. 2.7 also shows the beam distribution to the upper and lower MOT, where a 80 MHz AOM is used to shift the frequency of the laser to 1.5 MHz red of the desired $F=1 \rightarrow 2$ transition.

### 2.2.2 The MOT Master Laser

The MOT master laser has exactly the same mechanical design as the repump laser except the frequency, red-shifted by 147 MHz , is locked to the $F=2 \rightarrow 2 / 3$ crossover transition which is the strongest dispersive signal of the $F=2 \rightarrow F^{\prime}$ transitions for the ${ }^{87} \mathrm{Rb} \mathrm{D}_{2}$ line. The locking scheme is slightly different from the repump laser in a way such that one of the laser beams involved in the saturated absorption spectroscopy is frequency-modulated between $\pm 1 \mathrm{MHz}$ at 10 kHz . The advantage of this locking scheme is that it does not modulate the beam that is actually going to the experiment.

A schematic of the optic and electronic setup is shown in Fig. 2.9. The 80 MHz double-passed AOM allows the laser frequency to be detuned 80 MHz to 160 MHz red of the $F=2 \rightarrow 3$ transition, a cycling transition necessary for the magnetooptic trap (MOT) to work. With the use of another single-pass 80 MHz AOM (also acting as a fast shutter), the MOT master will have a detuning between 0 and 80 MHz red of resonance, which covers all frequencies required by the experiment. Fig. 2.10 shows the measured spectrum along with the corresponding transitions.


Figure 2.9: Beam distribution and layout of saturated absorption spectroscopy for the MOT master laser. The optical isolator is a model 713 by ConOptics and the lock-in amplifier is a model SR510 by SRS.


Frequency
Figure 2.10: Saturated absorption spectrum for the $\mathrm{Rb}^{87} \mathrm{D}_{2}$ line $F=2 \rightarrow F^{\prime}$ hyperfine manifold. The MOT master laser is locked to the $F=2 \rightarrow 2 / 3$ crossover transition.

### 2.2.3 The Slave Lasers

Slave lasers are the free running diodes with output frequency injection-locked by the MOT master laser. Therefore, the mechanical design of these systems is almost identical to the repump or MOT master laser except there is no grating (thus they do not need the setup for saturated absorption spectroscopy) and the Lucite cover is replaced by an aluminum cover (Fig. 2.11(a)-(b)). A small amount of light from the MOT master laser must be delivered into the rejection port of each slave laser's optical isolator (ConOptics, 712B), thus following the diode's output beam path to injection-lock the frequency of each slave laser.

The diode (Digi-Key, GH0781JA2C) has a single-mode output at a typical lasing wavelength of 784 nm with a maximum power of 120 mW and an operating current of 167 mA . The current sent to the diode, like the repump and MOT master lasers, is also controlled by a homebuilt PID circuit [13]. The temperature is regulated by another homebuilt circuit based on an ultra-stable temperature controller from Wavelength Electronics (model WTC3243). A description of the alignment procedure for the injection lock can be found in [16]. Fig. 2.11(c) shows the beam distributions of three slave lasers, which produce the upper MOT horizontal beam, the push beam, the vertical probe beam, the upper MOT diagonal beam, the horizontal probe beam, the optical pumping beam, the lower MOT beam, and the single atom detection (SAD) MOT beam.

### 2.3 The Magnetic Trap

Our magnetic trap, located around the lower vacuum chamber, has two purposes: providing the magnetic field needed for (1) the magneto-optic trap (MOT) and (2) the laser-free magnetic trapping for the evaporative cooling, which is a crucial part


Figure 2.11: The slave laser shown (a) without the cover and (b) with the cover. (c) Beam distributions of three slave lasers.
of obtaining Bose-Einstein condensation.
The configuration of our magnetic trap has the same design as the quadrupole Ioffe configuration (QUIC) trap [7], which combines the quadrupole and Ioffe configurations, and thus has a pair of quadrupole coils and one Ioffe coil as shown in Fig. 2.12. All coils are in series with a $60 \mathrm{~V}, 30 \mathrm{~A}$ power supply (Lambda EMI, TCR 605-30-1-D) which operates at constant voltage mode. The total voltage accross the coils is regulated by a PID circuit using several operational amplifiers (TI, OPA549) in parallel. To regulate the current in the quadrupole and Ioffe coils, a series of MOSFETs (International Rectifier, IRF1405) is connected to each coil in parallel, acting as a shunt. During typical operation, a constant current of 28 A flows through every coil. The measured trapping frequencies at this condition are $\omega_{a x}=2 \pi \times 20 \mathrm{~Hz}$ along the direction of Ioffe coil's axis and $\omega_{r a d}=2 \pi \times 200 \mathrm{~Hz}$ in the radial direction orthogonal to the axis. The offset of the magnetic field is about 1.4 G.

Fig. 2.12(a) shows a coil (quadrupole coil, in particular) before being assembled. A view of the completed magnetic trap is shown in Fig. 2.12(b) as well as the water cooling tubes and the auxiliary coils (wrapping around the coil holder) used for adding magnetic field offsets and optical pumping. Details about the construction of the coils as well as their cooling assembly and the control electronics can be found in $[13,16]$.

The advantages of this configuration are the low power dissipation and a small number of coils (only three). However, one disadvantage is that the trap center is shifted to the side. Therefore, it requires some means to move the center back. One way is to reduce the current in the two quadrupole coils while keeping the current unchanged in the Ioffe coil. This results in a decrease of the trapping


Figure 2.12: Quadrupole-Ioffe-configuration (QUIC) trap combines the quadrupole and Ioffe configuration. (a) Coil before being assembled. (b) A completed look at the QUIC trap with the water cooling tubes and the auxiliary coils, wrapping around the coil holder, used for adding magnetic field offset and optical pumping.
frequency (from $\sim 200 \mathrm{~Hz}$ to $\sim 20 \mathrm{~Hz}$ ) but can be compensated for by loading the atoms into an optical trap.

### 2.4 The Optical Trap

Optical traps are used in our experiments to create a variety of trapping potentials in order to manipulate the properties of the atoms. Roughly speaking, they can be divided into two categories: blue-far-detuned (repulsive) and red-far-detuned (attractive) traps.

The blue-detuned optical traps are formed by beams derived from a 10 W , 532 nm Nd:Vanadate laser (Coherent, Verdi V-10). This includes a pair of horizontal $\mathrm{TEM}_{01}$ optical sheets, a pair of vertical $\mathrm{TEM}_{01}$ optical sheets, and several optical tweezers. The red-detuned optical trap contains a circular trap and an optical sheet. Both of them are derived from a $10 \mathrm{~W}, 1064 \mathrm{~nm}$ Ytterbium doped fiber laser (IPGPhotonics, YLC-10-1064). All beams are shaped and spatially filtered before being focused into the lower chamber where the atoms are prepared. A layout of the optics producing these beams is shown in Fig. 2.13.

### 2.5 Single Atom Detection

Single atom detection is at the heart of directly studying quantum statistics in quantum degenerate gases. For example, to study the superfluid-to-Mott insulator transition in an optical lattice [17], one would like to measure the number statistics on each site, which might contain one or two atoms if the system is in the deep Mott insulator regime. Another example is the study of the Tonks-Girardeau gas in one-dimensional bosonic gas with repulsive interaction [18, 19, 20]. Atoms in this


Figure 2.13: Layout of optics producing the optical traps.
regime become localized in space (due to the strong interaction) with a density on the order of one atom per few micrometers. Therefore, it would be nice to be able to detect single atoms and reveal the number statistics as a function of position. One can probably use absorption imaging to study these problems, since it has the property of spatial resolution. However, for atom number smaller than one thousand, absorption imaging has a poor resolution.

Our single atom detection system uses the same design as in [21]. It is basically a fluorescence detection using a specially designed lens combo. The lens combo is composed of four BK7 spherical lenses with the aberration of one surface corrected by other surfaces, resulting in a minimized squared sum of the spherical aberrations up to 7 th order and third order coma and astigmatism. The main features of this lens combo are: (1) large working distance of 36 mm , (2) near diffraction-limited spot size of $\sim 2 \mu \mathrm{~m}$ on axis, (3) large field of view of $1 \mathrm{~mm}^{2}$, and (4) a numerical aperture of 0.29 . The lens combo also takes into account the aberration from the wall of the glass cell (lower vacuum chamber). Although the lens combo is originally designed for 832 nm light, it retains the diffraction-limited performance from 400 nm to 1064 nm .

The fluorescence required for the detection is provided by an on-resonant magneto-optic trap (MOT) with a strong magnetic gradient, which excites the atoms and gives a strong confinement (Fig. 2.14(a)). The MOT has a beam diameter of $\sim$ 1 mm , a beam intensity of $65 \mathrm{~mW} / \mathrm{cm}^{2}$, a detuning of about 5 MHz , and a magnetic gradient of $260 \mathrm{G} / \mathrm{cm}$. About $2 \%$ of the spontaneously emitted photons are collected and collimated by the lens combo. After that, the photons travel approximately for 8 mm before encountering a spatial filter which consists of a pinhole sandwiched by two lenses. The purpose of the spatial filter is to filter out the unwanted background


Figure 2.14: Single atom detection system. (a) Schematic of optics setup for the single atom detection. (b) Continuous detection of the random capture from the background vapor. The discretized step shows the fluorescence rate emitted by one atom. (c) Histogram of the data obtained in (b). (d) Number statistics in the MOT has a Poissonian characteristic. (e) Reliability of $97 \%$ has been measured for the atom counting of one and two atoms.
scattering. In order to eliminate any fluctuation which might result from the motion of the atoms in the MOT, the size of the pinhole ( $300 \mu \mathrm{~m}$ ) is chosen to be large enough to cover the size of the MOT. The collected fluorescence is then focused by a final 50 mm lens into an avanlanche photodiode (Perkin Elmer, SPCM-AQR-14) which operates at the single-phonton-counting mode.

The avanlanche photodiode (APD) has an active area of $175 \mu \mathrm{~m}$, a quantum efficiency of $\sim 60 \%$ at 780 nm , a maximum dark count of 100 counts/s, and a saturated count rate at 5 million counts/s. In order to block out any unwanted light into the APD, a mechanical shutter (Uniblitz, VS25S2Z0) and an interference filter with a 10 nm bandwidth centered at 780 nm are place in front of the APD. Although the filter reduces the intensity at 780 nm by a factor of about 2 , it suppresses the intensity outside the bandwidth by about four orders of magnitude. During the detection, the APD is activated for 2 seconds, and the measured fluorescence counts are averaged for every 10 ms .

Fig. 2.14(b) shows test data of this system. In this case, atoms are randomly captured from the background vapor and also have the chance to escape from the MOT. The discretized step corresponds to the fluorescence emitted by a single atom per second. This can also be seen in the histogram plot in Fig. 2.14(c). Since the process is a random event, the captured atom numbers in the MOT shows the behavior of Posissonian statistics (Fig. 2.14(d)). When there are one or two atoms in the MOT, the probability of measuring the same atom in the following 100 ms is nearly $97 \%$, indicating a high reliability of the atom counting (Fig. 2.14(e)).

To further explore our ability to detect a single atom, we also use a charged couple device (CCD) camera (Apogee, Alta Series, U47+) to image the atoms in the MOT. The APD measurement is correlated to the CCD measurement in such a


Figure 2.15: Single atom in the MOT. (a) Step-wise signal obtained by APD. (b) CCD image of one atom. (c) Spatial profile of detected single atom in X direction. (d) Spatial profile of detected single atom in Y direction.
way that we know exactly how many atoms are detected by the CCD camera. Two such measurements are shown in Fig. 2.15(a) and (b), where a single atom can be seen on the CCD camera. Spatial profiles of the detected single atom are shown in Fig. 2.15(c) and (d).

## Chapter 3

## Low-Dimensional Bose-Einstein <br> Condensate

Single-site control and addressability are the keys for directly studying and manipulating the properties of low-dimensional Bose-Einstein condensates (BECs). To achieve this, one would need a BEC in a single trap. This chapter describes our experimental means for obtaining low-dimensional BECs in a single optical trap with trapping frequencies comparable to what one can achieve in an optical lattice.

### 3.1 Criterion for Low-Dimensional BEC

A weakly interacting, trapped Bose-Einstein condensate (BEC) is low-dimensional, or "quasi"-low-dimensional, when the motion of the atoms in the BEC is restricted only to one or two dimensions. The "freezing" of the motion in the other directions means that the atoms will always stay in the ground state; therefore, the interaction energy $E_{\text {int }}$ and the thermal energy $k_{B} T$ ( $k_{B}$ is the Boltzmann constant and $T$ is the temperature of the atoms) of the atoms have to be much smaller than the
energy level spacing of the trap in the restricted directions. Thus, for a trap that is harmonic in the tightly confining direction(s), the low-dimensional regime is reached when

$$
\begin{equation*}
E_{i n t}, k_{B} T \ll \hbar \omega_{t}, \tag{3.1}
\end{equation*}
$$

where $E_{\text {int }}$ is the peak interaction energy which is equal to the chemical potential $\mu_{3 D}$ in three-dimensions (3D), and $\omega_{t}$ is the trapping frequency in the tightly confining direction(s): that is, the radial $(\perp)$ direction in the one-dimension (1D) or the axial (z) direction in two-dimensions (2D).

An equivalent criterion can be obtained by rewriting Eq. (3.1) with $E_{\text {int }}=$ $g_{3 D} n_{0}$, where $g_{3 D}=4 \pi \hbar^{2} a_{s} / m$ is the coupling constant, with $a_{s}$ being the s-wave scattering length, $n_{0}$ the peak density, and $m$ the mass. Then we get

$$
\begin{equation*}
\frac{1}{\sqrt{4 \pi n_{0} a_{s}}} \ll \sqrt{\frac{\hbar}{m \omega_{t}}}, \tag{3.2}
\end{equation*}
$$

where the term on the left-hand side is the healing length $\xi=1 / \sqrt{4 \pi n_{0} a_{s}}$ as defined in $[22,23]$ (a different definition of $\xi^{\prime}=1 / \sqrt{8 \pi n_{0} a_{s}}$ is being used in [2]), and the term on the right-hand side is the harmonic oscillator length in the tightly confining direction(s) $a_{t}=\sqrt{\hbar / m \omega_{t}}$. Therefore, when the low-dimensional regime is reached, it is also true that the healing length is much smaller than the harmonic oscillator length in the tightly confining direction(s), that is,

$$
\begin{equation*}
\xi \ll a_{t} . \tag{3.3}
\end{equation*}
$$

The criterion for low dimensions actually puts a restriction on the maximum atom number allowed in the system. This can be seen from Eq. (3.1): in order to fulfill the condition of low dimensions, one would need a strong confinement to
increase the energy level spacing, or a low atomic density to reduce the interaction energy. Unfortunately, with restricted laser power, the confinement cannot be increased unlimitedly. Moreover, the resolution of the camera always sets a lower bound for the atomic density. These restrictions thus result in a maximum atom number which can be achieved in a low-dimensional BEC.

The maximum atom number can be calculated from the crossover of Eq. (3.1),

$$
\begin{equation*}
\mu_{3 D}\left(N_{\max }\right)=\hbar \omega_{t}, \tag{3.4}
\end{equation*}
$$

if the chemical potential is known as a function of atom number, $\mu_{3 D}=\mu_{3 D}(N)$. To calculate $\mu_{3 D}(N)$, let's consider a BEC trapped in a potential $V\left(x_{i}\right)$ with harmonic form(s) $m \omega_{i}^{2} x_{i}^{2} / 2$ in the tightly confining direction(s), where $\omega_{i}$ is the trapping frequency in the $i$ direction.

For the large- $N$ limit (or, more strictly, $N a_{s} / \bar{a} \gg 1$ where $\bar{a}$ is the harmonic oscillator length corresponding to the geometric mean trapping frequency $\left.\bar{\omega}=\left(\omega_{x} \omega_{y} \omega_{z}\right)^{1 / 3}\right)$, the density distribution $n\left(x_{i}\right)$ of the atoms is related to $\mu_{3 D}(N)$ through $n(r)=\left(\mu_{3 D}-V(r)\right) / g_{3 D}$ given by the Thomas-Fermi (TF) approximation (Eq. (1.21)). Thus $\mu_{3 D}(N)$ can be computed by integrating the density $n\left(x_{i}\right)$ over the space to obtain the total atom number $N\left(\mu_{3 D}\right)=\int n\left(x_{i}\right) d^{3} x_{i}$. The calculation is somewhat tricky but can be made easier if one rescales $x_{i}$ as $\overline{x_{i}} \equiv \omega_{i} x_{i}$.

The maximum atom numbers $N_{\text {max }}$ allowed in different dimensions and trap configurations are summarized in Table. 3.1. They only depends on the trapping frequencies and the size of the atomic cloud. For trap parameters achievable in the current BEC experiments, $N_{\max } \cong 10^{3}-10^{4}$ for the 1D case and $N_{\max } \cong 10^{4}-10^{5}$ for the 2D case.

Table 3.1: Maximum atom numbers in one and two-dimensional BECs.

| Dimension | Potential $V\left(x_{i}\right)$ at $n\left(x_{i}\right)>0$ | Maximum atom number $N_{\max }$ |
| :---: | :---: | :---: |
| 2D | $m\left(\omega_{x}^{2} x^{2}+\omega_{y}^{2} y^{2}+\omega_{z}^{2} z^{2}\right) / 2$ | $\sqrt{32 \hbar \omega_{z}^{3} / 225 m a_{s}^{2} \omega_{\perp}^{4}}$ |
| 2D (box) | $m \omega_{z}^{2} z^{2} / 2$ | $\sqrt{2 \hbar m L_{x}^{2} L_{y}^{2} \omega_{z} / 6 \pi a_{s}} \dagger$ |
| 1D | $m\left(\omega_{x}^{2} x^{2}+\omega_{y}^{2} y^{2}+\omega_{z}^{2} z^{2}\right) / 2$ | $\sqrt{32 \hbar \omega_{\perp} / 225 m a_{s}^{2} \omega_{z}^{2}}$ |
| 1D (box) | $m\left(\omega_{x}^{2} x^{2}+\omega_{y}^{2} y^{2}\right) / 2$ | $L_{z} / 4 a_{s}^{\ddagger}$ |

$\dagger L_{x}$ and $L_{y}$ are the extents of the cloud in the $x$ and $y$ directions respectively. $\ddagger L_{z}$ is the extent of the cloud in the $z$ direction.

### 3.2 Why Low-Dimensional?

As a consequence of increased phase fluctuations, low-dimensional systems have many interesting physics which do not exist in three-dimensions. For example, below the critical temperature $T_{c}$, a trapped two-dimensional atomic gas does not immediately become a BEC but first undergoes Berezinski-Kosterlitz-Thouless transition [24, 25] and forms a "quasi-condensate," [23] which associates the formation of vortex pairs with opposite circulations.

Unique phase transition also exists in one-dimension. For instance, as the atomic density decreases, a trapped one-dimensional gas with contact potential becomes more and more correlated and enters the Tonks-Girardeau regime [18, 19, 20]. Atoms in this regime strongly interact with each other and behave like fermions ("fermionization).

In addition to these specisl phase transitions, topological excitation like solitons is also more stable in low-dimensional than in three-dimensional system, where solitons might break up into vortices or phonons. Moreover, the increased phase fluctuation is expected to change the nature of collective excitation in low dimensions [26, 27].

In recent years, great efforts have been made to realize BEC in one dimension
[28, 29] and two dimension [30, 31] in optical lattices. Low-dimensional BECs were also achieved in a single optical or magnetic trap [32]. Followed by these successes, the Berezinski-Kosterlitz-Thouless transition and Tonks-Girardeau gases were observed in 2D BECs [33] and 1D BECs [34, 35], respectively. Collective excitations were also measured in one-dimensional BECs [36]. Among these trap configurations, the experiments with optical lattices have stronger signals due to arrays of BECs. The experiments in a single trap, on the other hand, has the property of addressability.

### 3.3 Experiment

This section describes the construction of a single optical trap which has trapping frequencies comparable to what one can achieve in an optical lattice. Using this novel technique, we have obtained low-dimensional Bose-Einstein condensate in a single trap. This configuration allows single-site control and addressibility.

### 3.3.1 Decompressed Quadrupole-Ioffe-Configuration Trap

Since our Quadrupole-Ioffe-Configuration (QUIC) trap does not have a high enough trapping frequency to produce a low-dimensional Bose-Einstein condensate (BEC), an optical trap with a starting point inside the magnetic trap is needed. However, the center of the QUIC trap, about 8 mm from the center and 4.5 mm from the wall of the glass cell, does not have good optical access. Thus, the first task is to move the atoms (not necessarily a BEC) back to the center of our science chamber- the glass cell.

The atoms which are being transfered do not have to be BEC atoms. Actually, it might be even better to transfer non-BEC atoms because they are less
sensitive to any sort of heating during the transfer. Therefore, a large cloud of cold atoms is first prepared in the QUIC trap by using a smaller bias magnetic field $B_{0}$ (compared to the value used for obtaining BEC) during the evaporative cooling while keeping the end RF frequency the same.

The transfer is then accomplished by ramping down the current in the quadrupole coils. In order to adiabatically transfer the atoms back to the center of the science chamber, the kink due to the change of the current at the beginning of the transfer is carefully minimized. Furthermore, the quadrupole current is ramped down in a way such that the atoms always feel a continuous change of force or acceleration. Nearly no excitation is created during the process. This is verified by transferring a BEC back and forth from the QUIC trap to the final location and observe no heating. Since the bias magnetic field is also ramped off at the end, extra care is made to switch the RF completely off before the transfer. Several auxiliary coils are also used to balance the transfer motion of the atoms.

In typical operations, the quadrupole current $I_{Q P}$ is ramped down from 28 A to 9 A in $T=3$ seconds, resulting in a position shift of about 7 mm . The position of the atoms $x_{\text {atom }}$ is calibrated with the quadrupole current $I_{Q P}=\left(1+0.16124 x_{\text {atom }}+\right.$ $\left.0.0161 x_{\text {atom }}^{2}+0.0011 x_{\text {atom }}^{3}+4.7198 \times 10^{-5} x_{\text {atom }}^{4}+9.1415 \times 10^{-7} x_{\text {atom }}^{5}\right) I_{\text {Ioffe }}$, where $I_{I o f f e}=28 \mathrm{~A}$ is the Ioffe current during the transfer (Fig 3.1 (a)), and is shifted in the form of a sinusoidal function with respect to the time, $x_{\text {atom }}(t)=\sin (\pi t / T)$. However, since the trap is more stable at a higher bias field $B_{0}$, the position shift is actually speeded up at the beginning by $s=2$ times faster than the end in order to increase the bias field quickly to 10 G in the first $100 \mathrm{~ms}\left(B_{0} \sim 50 \mathrm{G}\right.$ at the final
position). This is accomplished by "stretching" the time in the form of

$$
\begin{equation*}
t^{\prime}=T\left[\frac{\left(\frac{t}{2 T}+1\right)^{1+\frac{\ln 1 / s}{\ln 3 / 2}}-1}{\frac{3}{2}^{1+\frac{\ln 1 / s}{\ln 3 / 2}}-1}\right], \tag{3.5}
\end{equation*}
$$

which is derived by solving the following simultaneous equations.

$$
\begin{array}{r}
t^{\prime}=C_{1}\left(t+C_{2}\right)^{p}-C_{3}, \\
t^{\prime}(0)=0, \\
t^{\prime}(T)=T, \\
\left.\frac{d t^{\prime}}{d t}\right|_{t=0}=\left.s \frac{d t^{\prime}}{d t}\right|_{t=T} . \tag{3.9}
\end{array}
$$

After the transfer, the atoms are left in the trap for another 300 ms to be stabilized. The horizontal position of the atoms is aligned to the center of the quadrupole trap by adjusting the horizontal auxiliary coils. The vertical position of the atoms, on the other hand, is aligned to a position about half the cloud size above the center of the quadrupole trap. This is done by using the earth field compensation coil in the vertical direction to lift the atoms up. About $10^{6}$ cold atoms near BEC are prepared in such a symmetric magnetic trap with a trapping frequency of 20 Hz (Fig. 3.1(b)-(d)).

### 3.3.2 BEC in a Gravito-Optical Trap

After the atoms are transfered back to the center of the quadrupole trap, they are ready to be loaded into the optical trap. A 532 nm blue-detuned optical sheet propagating horizontally is ramped on just below the atoms in 300 ms while a 1064 nm red-detuned circular optical trap propagating vertically is ramped on around


Figure 3.1: Decompressed Quadrupole-Ioffe-Configuration (QUIC) trap. (a) Calibration of the quadrupole current $I_{Q P}$ with respect to the position of the atoms $x_{\text {atom }}$. (b) Absorption image of atoms in the decompressed QUIC trap after 3.5 ms TOF. (c) Density profile of the atoms in the $X$ direction. (d) Density profile of the atoms in the $Y$ direction.
the atoms (Fig. 3.2(a)). Both ramps use an exponential form.
The optical sheet, with a final power of 165 mW , is actually a TEM01 mode beam with a dark line at the center. The beam is derived from a 10 W Nd:Vanadate laser (Coherent, Verdi V-10) and focused to a $1 / e^{2}$ radius of $2.4 \mu \mathrm{~m} \times 125 \mu \mathrm{~m}$, at a distance about half cloud size below the atoms. Its focus is aligned with respect to the location of the atoms by minimizing the atom leakage through the sheet while reducing the intensity of the sheet. The circular optical trap, with a final power of 3.3 mW , is a circular Gaussian beam. It is derived from a Ytterbium doped fiber laser (IPG-Photonics, YLC-10-1064) and focused to a $1 / e^{2}$ radius of $50 \mu \mathrm{~m}$, with the focus slightly above the atoms.

The atomic cloud is further compressed. This is because (1) the atomic density, which is an important factor for the following evaporative cooling, decreases as the trapping potential is relaxed during the transfer, and (2) in order to load more atoms into a 2D trap it is necessarily to "squeeze" the atomic cloud in one direction to obtain a quasi-two-dimensional shape. Therefore, the intensity of the circular optical trap is ramped up exponentially to 30 mW in 500 ms while the earth field compensation field, which is used to lift the atoms above the horizontal sheet, is ramped down linearly. This allows the gravity to press the atoms against the sheet and increase their density but not too strong to pull the atoms through the sheet (Fig. 3.2(b)-(d)). During this compression, the auxiliary coils and the quadrupole current are used again to balance the motion of the atoms.

The high atomic density after the compression gives a good starting point for the evaporative cooling in the optical trap. The evaporative cooling is carried out by reducing the intensity of the circular optical trap and letting highly energetic atoms escape radially through the trap. The intensity of the circular optical trap


Figure 3.2: Compressed optical trap. (a) Pictorial view of atoms (in blue color) in the compressed optical trap. The horizontal sheet (in green color) holds the atoms against the gravity and the circular optical trap (in red color) surrounds the atoms. (b) Absorption image of atoms in the compressed optical trap after 3.5 ms TOF, where atoms leaking through the horizontal sheet can also be seen. (c) Density profile of the atoms in the $X$ direction. (d) Density profile of the atoms in the $Y$ direction.
is gradually ramped down to 21 mW in five steps which take a total time of one second (Fig. 3.3(a)). In each step, the total current in the magnetic trap is also ramped off linearly as well as the quadrupole current, the bias magnetic field $B_{0}$, and the auxiliary coils. The earth compensation fields are not ramped completely off but kept at the values used in the MOT.

At the end of the evaporative cooling, a BEC of $2.4 \times 10^{5}$ atoms is obtained in the gravito-optical trap (Fig. 3.3(b)-(d)), which has a calculated trapping frequencies of $\omega_{\text {rad }}=60 \mathrm{~Hz}$ radially and $\omega_{a x}=850 \mathrm{~Hz}$ axially. A series of the time-of-flight (TOF) measurements at different expansion time is shown in Fig. 3.3(e). The lifetime in this trap is measured to be about 5 seconds, with a fast exponential decay in the first 500 ms which has a time constant of 180 ms . The fast decay at the beginning is probably due to the three-body collisions or some residual evaporative cooling (Fig. 3.3(f)).

Since the BEC in the gravito-optical trap is surrounded by a circular optical trap which gives a harmonic potential, it has a circular shape in the radial direction. Fig. 3.4(a)-(c) show the top view and the density distributions of the atoms.

It is also interesting, for the research purpose, to confine the atoms by hard walls which produce a flat potential. In order to create such a potential, we use another two pairs of blue-detuned optical sheets propagating vertically to confine the atoms in two orthogonal directions perpendicular to the plane of the horizontal sheet. Both sheet pairs are derived from the same 532 nm laser ( 10 W ) as the horizontal sheet and focused to $1 / e^{2}$ radii of $1.8 \mu \mathrm{~m} \times 125 \mu \mathrm{~m}$ and $2.5 \mu \mathrm{~m} \times 125$ $\mu \mathrm{m}$ at the position of the atoms with power of 3.7 W and 1 W . The trap depth of each sheet pair greatly exceeds the chemical potential of the BEC and therefore forms a two-dimensional hard-wall potential for atoms. A typical image of the BEC


Figure 3.3: Bose-Einstein condensate (BEC) in the grvito-optical trap. (a) Intensity of circular optical trap as a function of time during the evaporative cooling. (b) Absorption image of BEC after 18 ms TOF. (c) Density profile of the atoms in the $X$ direction. (d) Density profile of the atoms in the $Y$ direction. (e) Series of TOF images at different expansion time. (f) Lifetime measurement gives $\tau=4.8$ seconds with a fast decay of $\tau=180 \mathrm{~ms}$ at the beginning.
in this trap is shown in Fig. 3.4(d)-(f).
Unfortunately, the trapping frequencies obtained in the gravito-optical trap are still not high enough to fulfill the condition of a two-dimensional BEC (Eq. (3.1)) if we want to have a reasonable amount of atoms.

There are many different ways to create a high trapping frequency trap. For example, one can use (1) an one-dimensional optical lattice, (2) two adjacent blue-detuned (repulsive) optical sheets, or (3) a red-detuned (attractive) red sheet. However, these methods have their own disadvantages: (1) the optical lattice can easily produce very high trapping frequency but also an array of BECs which does not have single site addresability (Fig. 3.5(a)); (2) the configuration of two adjacent optical sheets gives a potential offset which raises as the beam intensity increases and thus limits the trapping frequency if one has limited laser power (Fig. 3.5(b)-(c)); (3) the red sheet is probably the simplest method but the high trap depth makes it inconvenient for evaporative cooling or verifying the existence of a condensate by lowering the trap depth and the scattering rate ( $\sim$ heating rate) might also be too high at the trap center (Fig. 3.5(d)). Therefore, the approach we use to produce a two-dimensional BEC is neither of the above.

### 3.3.3 Resemble TEM $_{01}$ Beam by Phase Mask

We use blue-detuned $\mathrm{TEM}_{01}$ beams to produce a high trapping frequency optical trap. A $\mathrm{TEM}_{01}$ beam has a completely dark region at the center of the beam. Trapping atoms in the dark region thus reduces the scattering rate and does not have the problem of potential offset, too. To create a $\mathrm{TEM}_{01}$ beam, we first pass a Gaussian beam through a homemade phase mask, which imprints a $\pi$ phase shift between the two halves of the incident beam. The beam is then focused to the


Figure 3.4: Bose-Einstein condensate (BEC) in a square hard-wall trap. (a) BEC in a gravito-optical trap surrounded by a red-detuned circular beam has a circular shape in the radial direction. (b) Density profile of the BEC, shown in (a), in the $X$ direction. (c) Density profile of the BEC, shown in (a), in the $Y$ direction. (d) BEC in a square hard-wall trap shows a square shape. (e) Density profile of the BEC, shown in (d), in the $X$ direction. (f) Density profile of the BEC, shown in (d), in the $Y$ direction.
position of the atoms, which resembles a $\mathrm{TEM}_{01}$ beam.
It might not seem to be trivial how the resulting beam becomes $\mathrm{TEM}_{01}$ mode. One can understand this by taking the amplitude of the incident beam and calculating its Fourier transform, which is equivalent to the focusing of the lens. The amplitude of the incident beam has the form of a Gaussian, which is given by

$$
E_{\text {int }}=\left\{\begin{array}{rll}
\sqrt{\frac{2 P}{\pi w_{x} w_{y}}} e^{-\frac{x^{2}}{w_{x}^{2}}} e^{-\frac{y^{2}}{w_{y}^{2}}}, & y>0 & \text { (one half) }  \tag{3.10}\\
e^{-i \pi} \sqrt{\frac{2 P}{\pi w_{x} w_{y}}} e^{-\frac{x^{2}}{w_{x}^{2}}} e^{-\frac{y^{2}}{w_{y}^{2}}}, & y<0 & \text { (the other half) }
\end{array},\right.
$$

where $P$ is the beam power and $w_{i}$ is the $1 / e^{2}$ radius in the $i$ direction. By Fourier transforming $E_{\text {int }}$ to $\tilde{E}$, the intensity distribution $\tilde{I}=|\tilde{E}|^{2}$ near the focus can be found to be (neglecting $z^{3}$ and higher terms)

$$
\begin{equation*}
\tilde{I}=\frac{I_{T E M_{01}}}{\pi}=\frac{8 P}{\pi^{2} w_{x} w_{y}^{3}} y^{2} e^{-\frac{2 x^{2}}{w_{x}^{2}}} e^{-\frac{2 y^{2}}{w_{y}^{2}}}, \tag{3.11}
\end{equation*}
$$

which resembles that of the true $\mathrm{TEM}_{01}$ beam with a factor of $\pi$ smaller.
The phase mask or phase plate is manufactured as follows: first, we cover half of a poor-quality BK7 optical glass (Esco Products, P910250) with Teflon tape (Fig. 3.6(a)). The optical glass, as well as a sensor sitting next to it, is placed in a chamber with a background pressure of $3.0 \times 10^{-6}$ torr (Fig. 3.6(b)). About ten inches below them, there is magnesium fluoride (Johnson Matthey Catalog Company, $99.9 \% \mathrm{MgF}_{2}$ ) placed on a plate which has high current ( $\sim 150 \mathrm{~A}$ ) passing through it. Once the plate is heated to high enough temperature by the high current, the magnesium fluoride starts to evaporate and deposit on the optical glass and the sensor. The sensor detects the current flowing through the deposited material and stops the process when the thickness of the deposition is the right amount. The


Figure 3.5: Different methods of producing two-dimensional Bose-Einstein condensates (BEC). (a) In a one-dimensional (2D) optical lattice, an array of 2D BECs does not have single site addressability. (b) When two adjacent optical sheets approach each other, a potential offset exists. The potential in red color has a smaller separation between two sheets than the potential in blue color. (c) Increase the beam intensity also raise the potential offset. The potential in red color has higher beam intensity than the potential in blue color. (d) Density profile of the atoms in the $Y$ direction.
thickness is chosen such that the laser beam passing through will be imprinted with a $\pi$ phase shift, that is, when the following condition is fulfilled:

$$
\begin{equation*}
\frac{(n-1) t}{\lambda}=\pi \tag{3.12}
\end{equation*}
$$

where $n$ is the index of refraction of magnesium fluoride, $t$ is the thickness, and $\lambda$ is the wavelength of the beam. A finished phase mask is shown in (Fig. 3.6(c)).

Although the glass plate we use does not have a high optical quality and the deposition process is not very precisely controlled, the result of the phase mask turns out to be surprisingly good. Fig. 3.6(d) shows a photo of the $\mathrm{TEM}_{01}$-like beam produced by our phase mask. The intensity distribution is fit to a true $\mathrm{TEM}_{01}$ profile very well (Fig. 3.6(e)).

### 3.3.4 Observation of Two-Dimensional Bose-Einstein Condensate

After having a BEC of $2.4 \times 10^{5}$ atoms on a horizontal sheet surrounded by a circular optical trap, we load the BEC into a two-dimensional (2D) TEM ${ }_{01}$ optical trap. This is accomplished in two steps. A horizontal $\mathrm{TEM}_{01}$ optical sheet is first ramped on at a position $4 \mu \mathrm{~m}$ above the first sheet where the atoms are located. The ramp takes 100 ms and uses an exponential form with a final beam power of 165 mW . Both of the sheets are produced from the same acousto-optic modulator (AOM) with different input RF frequencies, and thus have the same beam properties. The position of the second sheet is optimized for maximum loading rate.

After the ramp, the beam power of the second $\mathrm{TEM}_{01}$ sheet is further increased to 1 W in another 100 ms while the first horizontal sheet, which does not hold the atoms anymore, is ramped off. The atoms originally in the gravito-optical trap are thus transfered into the 2D $\mathrm{TEM}_{01}$ trap. The transfer efficiency is measured


Figure 3.6: Manufacture and property of $\mathrm{TEM}_{01}$ phase mask. (a) An optical glass is covered by Teflon tape on one half in order to be deposited on the other half. (b) The optical glass (A) is placed inside a chamber for deposition. Magnesium fluoride $\left(\mathrm{MgF}_{2}\right)$ is stored on a plate (B) where high current passes through. A sensor (C) detects the current passing through the deposited material to determine the correct deposition thickness. (c) A finished $\mathrm{TEM}_{01}$ phase mask with half of the plate coated with $\mathrm{MgF}_{2}$. (d) Intensity profile of the $\mathrm{TEM}_{01}$ beam produced by the phase mask (black). A fit of true $\mathrm{TEM}_{01}$ profile is also shown (red).
to be nearly $100 \%$. A pictorial view of the atoms in the $2 \mathrm{D} \mathrm{TEM}_{01}$ trap is shown in Fig. 3.7(a). Fig. 3.7(c) shows an absorption image of the two-dimensional BEC after 18 ms time-of-flight (TOF) with the density profiles shown in Fig. 3.7(d)-(e). A series of TOF measurements is shown in Fig. 3.7(f). The trapping frequency in the tight confining direction is calculated to be $\omega_{t}=27 \mathrm{kHz}$.

The trapping frequency of the $2 \mathrm{D} \mathrm{TEM}_{01}$ trap is strongly dependent on the alignment of the beam. This is likely due to any misalignment or the inhomogeneity of the phase mask. However, a careful adjustment of the position or the orientation of the phase mask and the relative position of the beam to the atoms always allow one to achieve high trapping frequency in this trap. In order to have a full control of the angle and position of the phase mask, we mount the phase mask on both a rotational stage and a translational stage (Fig. 3.7(b)). Moreover, a mirror, which is placed after the phase mask and about 3 m away from the atoms, is installed with micrometer screws (New Focus, 9351) for fine adjustment of the beam position.

### 3.3.5 Observation of One-Dimensional Bose-Einstein Condensate

In order to obtain a one-dimensional Bose-Einstein condensate (BEC), we need to transform the trap geometry into a cigar shape. This can be accomplished by loading the atoms into another $\mathrm{TEM}_{01}$ beam which then forms a crossed $\mathrm{TEM}_{01}$ trap with the first (horizontal) $\mathrm{TEM}_{01}$ beam. A pictorial view of this configuration is shown in Fig. 3.8(a). However, in order to load the atoms into the crossed trap, we need to use another beam to compress the atoms in one of the directions perpendicular to gravity. This is because, in the two-dimensional trap, gravity helps to press the atoms onto the horizontal sheet so that the atoms can be easily loaded into the dark region of the $\mathrm{TEM}_{01}$ beam, but this is not the case in the other directions.


Figure 3.7: Observation of two-dimensional Bose-Einstein condensate in a $\mathrm{TEM}_{01}$ optical trap. (a) Pictorial shows the atoms in the $\mathrm{TEM}_{01}$ trap. (b) The phase mask (C) is mounted on a rotational (A) and a translational stage to have a full control of the angle and position. (c) Absorption image of two-dimensional BEC after 18 ms TOF. (d) Density profile of the atoms in the $X$ direction. (e) Density profile of the atoms in the $Y$ direction. (f) Series of TOF images at different expansion time.

The compression is carried out by ramping on a red-detuned elliptic optical trap to 85 mW , which is orthogonal to the horizontal sheet. The ramp takes 100 ms and has an exponential shape. The elliptic optical trap is derived from the same 1064 nm laser $(10 \mathrm{~W})$ as the circular optical trap and focused to a $1 / e^{2}$ radius of $10 \mu \mathrm{~m}$ $\times 125 \mu \mathrm{~m}$ at the position of the atoms. Due to the anisotropic nature of the elliptic beam, the atoms in the combined optical trap have a cigar shape (Fig. 3.8(b)).

After ramping on the elliptic beam, a pair of blue-detuned optical sheets, which is orthogonal to both of the horizontal $\mathrm{TEM}_{01}$ and elliptic sheets, is ramped on in 100 ms with a separation similar to the size of the atoms in the elongated direction ( $80 \mu \mathrm{~m}$ ). This sheet pair thus forms an "end cap" for the atoms. The intensity ramp of the beam also takes an exponential form. Like the other bluedetuned beams, the "end cap" pair is derived from the same 532 nm laser but focused to a $1 / e^{2}$ radius of $2.5 \mu \mathrm{~m} \times 6 \mu \mathrm{~m}$ at the location of the atoms. The purpose of these "end cap" beams is to provide confinement in the third direction not covered by the $\mathrm{TEM}_{01}$ beams.

Once the "end cap" beams are ramped on, the circular optical trap is no longer required. Therefore, the circular optical trap is ramped off exponentially in 100 ms while the second $\mathrm{TEM}_{01}$ beam, propagating along the direction of the gravity, is ramped on to 740 mW . This vertical $\mathrm{TEM}_{01}$ beam is also derived from the same 532 nm laser as the other blue-detuned beams and focused to a $1 / e^{2}$ radius of $1.8 \mu \mathrm{~m} \times 125 \mu \mathrm{~m}$. The vertical $\mathrm{TEM}_{01}$ beam has a tighter focus than the horizontal $\mathrm{TEM}_{01}$ beam. This is because the last lens (Newport, GAC040) in the vertical $\mathrm{TEM}_{01}$ beam path has a better optical quality $(f / \#=2.0)$ than the lens (Melles Griot, 01LAO459) in the horizontal TEM $_{01}$ beam path $(f / \#=3.1)$. The calculated trapping frequency of the vertical $\mathrm{TEM}_{01}$ beam in the tightly confining


Figure 3.8: Observation of one-dimensional Bose-Einstein condensate in a crossed $\mathrm{TEM}_{01}$ trap. (a) Pictorial shows the atoms in the crossed $\mathrm{TEM}_{01}$ trap. (b) Absorption image of the atoms confined by the elliptic optical trap. (c) Absorption image of one-dimensional BEC. (d) Density profile of the atoms in the $X$ direction. (e) Density profile of the atoms in the $Y$ direction. (f) Lifetime measurement shows two different time scales.
direction is thus also higher: $\omega_{t}=2 \pi 60 \mathrm{kHz}$.
Since the atoms are already successfully loaded into the vertical $\mathrm{TEM}_{01}$ beam, the elliptic beam is ramped off exponentially in the next 100 ms . The process of obtaining a one-dimensional BEC is then completed by ramping the vertical $\mathrm{TEM}_{01}$ beam exponentially to the full power in 100 ms , which is 3.7 W . An absorption image of an one-dimensional BEC of 3100 atoms produced in the crossed $\mathrm{TEM}_{01}$ optical trap is shown in Fig. 3.8(c) with the density profiles given in Fig. 3.8(d)-(e). The lifetime measurement of the one-dimensional BEC in the crossed $\mathrm{TEM}_{01}$ trap gives about 2 seconds as shown in Fig. 3.8(f), where a fast exponential decay with a time constant of 100 ms exists in the first 250 ms .

A TOF image in the crossed $\mathrm{TEM}_{01}$ optical trap does not give a resolvable signal because of the low atom number ( $\sim 3000$ ) and fast expansion due to the strong confinements. Therefore, for TOF images in the crossed $\mathrm{TEM}_{01}$ trap, we always leave the horizontal $\mathrm{TEM}_{01}$ sheet on while release the atoms from the vertical $\mathrm{TEM}_{01}$. This allows the atoms freely expand in a two-dimensional plane confined by the horizontal $\mathrm{TEM}_{01}$ sheet. Fig. 3.9(c) shows a series of TOF measurements for different expansion time in the crossed trap. A typical TOF image is shown in Fig. 3.9(b).


Figure 3.9: Expansion of one-dimensional Bose-Einstein condensate (BEC) in a $\mathrm{TEM}_{01}$ sheet. (a) Absorption image of one-dimensional BEC in a crossed $\mathrm{TEM}_{01}$ optical trap. (b) Absorption image of the BEC after 1 ms time-of-flight (TOF) in a $\mathrm{TEM}_{01}$ sheet.

## Chapter 4

## Sub-Poissonian Number

## Statistics in a Degenerate Bose

## Gas

This chapter describes the optical box trap we use to study atom number statistics in a degenerate Bose gas, the experimental result of sub-Poissonian number statistics, and a simple theoretical model for a degenerate Bose gas in a finite-height potential well.

### 4.1 Number Squeezed State

A Bose-Einstein condensate (BEC) can be described by a macroscopic wave function, $\psi(r)=\sqrt{n(r)} e^{i \phi}$, where density $n(r)$ and phase $\phi$ are connected by a Heinsenberg uncertainty relation in the same way as position and momentum. In terms of atom number $N$ and phase $\phi$, the fluctuations in these two quadratures then obey the
relation $\Delta N \Delta \phi \cong 1$, where $\Delta N$ in most experiments is on the order of $\sqrt{N}$, a "standard quantum limit" for the number fluctuation. Therefore, a non-classical state in a BEC is a configuration of atoms whose fluctuation in one quadrature is reduced while the fluctuation in the other quadrature increases. For example, a number squeezed state has a $\Delta N$ smaller than $\sqrt{N}$ with a complementary increase in $\phi$.

Number squeezed states have importance in many aspects of physics. For example, the creation of many-body atomic number states would be a building block for controlled manipulation of entangled states of $N$-particle systems [37, 38], which are fundamental to the study of quantum mechanics and can provide the basis of quantum information processing or quantum computation [39].

Moreover, number squeezed states could lead to a pronounced improvement in the sensitivity of precision measurements. Atom interferometry [40] is one example. The accuracy of atom-interferometer-based gyroscopes, gravimeters, and gravity gradiometers are mainly limited by the atom shot noise. The sensitivity thus scales with $1 / \sqrt{N}$, where $N$ is the number of atoms used in the measurement. However, by taking advantage of the property of number squeezed states, one could potentially reach the sensitivity of Heinsenberg limit which is $1 / N$ [41].

With the aid of nonlinear atom-atom interaction, several groups have claimed to obtain non-classical states with reduced number fluctuations in a degenerate Bose gas trapped in an optical trap [42] or on an atom chip [43, 44]. In both experiments, phase fluctuations were measured to be larger compared to that of a coherent state and thus reduction of the number fluctuation (number squeezing) were inferred. However, in order to further claim the observation of a number squeezed state in these experiments, one would also need to measure the corresponding fluctuation in
the conjugate variable (i.e. the phase) or perform phase coherence measurements [45, 46, 47]. Nevertheless, these results are already a big step toward the manipulation of squeezed states in atomic physics.

### 4.2 The Idea of Creating a Number Squeezed State

As most people would notice when they walk by a utility pole with some birds on it, the most amazing thing is not just that the birds can handle the high voltage passing through the wire but also that there seems to a minimum distance between any adjoining bird (Fig. 4.1 (a)). This minimum distance is known as the "individual distance" - an intolerance for members of their own species to be within a certain distance. The similar phenomena could also be observed when people are standing inside a elevator - everyone keeps a minimum "comfortable distance" from each other.

Now, consider the following question: assuming the length of the wire is fixed and there are infinite amount of birds, what would be the maximum number of birds that can stay on this wire? The answer apparently is the length of the wire divided by the "individual distance" plus 1 . Moreover, if we perform such an "experiment," this maximum number probably will not change much from "shot to shot." Our idea of creating a number squeezed state is actually very similar to this natural phenomenon.

To demonstrate the idea, let's imagine someone is repeatedly pouring some billiard balls into an one-dimensional box (Fig. 4.1 (b)). He or she will probably find out very soon that the number of billiard balls in this box every time is completely random. This is just because the "pouring" is an uncontrolled process. However, if he or she always over-fills up the box with billiard balls, the number of billiard

(b)


Figure 4.1: Assuming the length of a wire is fixed, what would be the number of birds on this wire? (a) Each bird on the wire stands a minimum distance, known as "individual distance," from the adjoining bird. (b) The same idea could be applied to precisely control the atom number in an one-dimensional box.


Figure 4.2: Illustration of controlling the volume of water in a bowl. (a) Start with an empty bowl under a water faucet, (b) it is difficult to control the amount of water to be the same every time, even though you try to stop the water flow at the same level every time. (c) However, once the bowl is filled up, (d) the amount of water left inside the bowl will always stay the same.
balls in the box is very likely to be the same every time - because the maximum number of billiard balls allowed in this box is the same, which is determined by the size of the billiard ball. In our experiment, the billiard balls are interacting atoms. Therefore, the interaction determines how many atoms can be "poured" into the "box."

This atomic analog of "birds on a wire" can be best illustrated by the example shown in Fig. 4.2. Suppose you try to pour the same amount of water in a bowl, which is placed under a flowing water faucet, every time (Fig. 4.2 (a)). Since the
bowl can be filled to an arbitrary level while the volume of water does not exceed that of the bowl (Fig. $4.2(\mathrm{~b})$ ), it is really difficult to control the amount of water in the bowl even though you are careful to stop the water flow at the same level every time. However, once the bowl is filled up with water (Fig. 4.2 (c)), the water inside will always be the same whenever you switch off the faucet (Fig. 4.2 (d)).

In our experiment, we are able to control the volume of the bowl or the "optical box." Therefore, at the beginning of the experiment, we always load a random number of atoms into an huge "optical box" which can hold all the atoms. Then, we gradually reduce the height of the "optical box" until we see some atoms leaking out. Once the atoms start leaking out, a one-to-one correspondence will exist between the atom number in the "optical box" and the height of the "optical box." We can then vary the height of the box to obtain the desired atom number. To measure the atom number inside the "optical box," the height is always raised up again to protect the atoms from escaping during the measurement. The experimental sequence is illustrated in Fig. 4.3 where the "optical box" is shown as a finite-height potential well.

### 4.3 A Simple Theoretical Model

A simple theoretical model has been developed by Dudarev et al. [48] to verify the idea of reducing atom number fluctuation described in the previous section. Using this model, the dependence of the maximum atom number on the potential parameters and interaction strength is obtained. Although the model considers only one dimensional bosons with contact interaction in a square well, it shows the essential features of the idea. In that paper, the authors also calculate the strong interacting case (impenetrable bosons). However, since the experimental condition


Figure 4.3: Experimental sequence of preparing sub-Poissonian number statistics in a degenerate Bose gas. (a) A random number of atoms is first loaded into a finiteheight potential well. The red line represents the level of the chemical potential which is related to the atom number. The orange region shows the initial fluctuation. (b) The height of the potential well is then lowered to a level where atoms start to leak out. From this point on, a one-to-one correspondence between the atom number and the well depth is established. (c) The well depth can be varied to obtain the desire atom number. (d) Before the imaging, the well is raised again for protection purpose.
is far from that regime, we will only discuss the case when the average interaction energy of each particle is smaller than the average kinetic energy - that is, the weak interaction limit. Nevertheless, it will still be interesting to perform similar experiments in the regime of impenetrable bosons.

In the limit of weak interaction, the problem of $N$ deeply bound bosons in a potential well is always solved by Gross-Pitaevskii (GP) equation, which assumes all $N$ particles are in the same single particle state. The wavefunction of each particle can then be determined by the variational method. However, this method will not work very well in our case because of the fact that one particle (which is about to leak out) always becomes weakly bound while all the others remain tightly bound. As pointed out in Ref. [48], this situation is better described by a Hartree-Fock wavefunction $[49,50]$ with $N-1$ particles in a state $\phi_{1}$ and one particle (which will leak out) in another state $\phi_{2}$. In order to minimize the total energy and maintain the symmetric and nodeless properties of $N$-body wave function, both of $\phi_{1}$ and $\phi_{2}$ should be symmetric and nodeless although they do not have to be orthogonal to each other.

Fig. 4.4 shows a comparison between the two methods. The solid lines show the values of the variational parameters $\kappa_{i}$, which minimize the total energy for three atoms in a potential well, as a function of the well depth $V_{0}$ using variational functions of the form

$$
\begin{equation*}
\phi_{i}=\sqrt{\kappa_{i}} e^{-\kappa_{i}|x|}(i=1,2) . \tag{4.1}
\end{equation*}
$$

The dotted line is the result when only one variational wave function is used and thus eqivalent to solving the GP equation. The interaction strength used in this particular calculation is $g_{1 D}=2 \hbar^{2} a_{s} / m a_{\perp}^{2}=\hbar^{2} / m L$, where $\hbar$ is the Planck constant $h$ divided


Figure 4.4: Variational parameters, $\kappa_{1}$ and $\kappa_{2}$, that minimize the total energy of three atoms in a well for different well depths $V_{0}$. The units of $V_{0}$ and the interaction strength $g$ are $(\hbar / L)^{2} / m$ and $\hbar^{2} / m L$, respectively. In this calculation, $g$ is chosen to be 1. Plots courtesy of Artëm Dudarev [48].
by $2 \pi, a_{s}$ the s-wave scattering length, $m$ the mass, $a_{\perp}$ the width of the transverse wave function, and $L$ the width of the potential. In the case of a deep well (for example, $V_{0} \sim 2$ ), the values of $\kappa_{1}$ and $\kappa_{2}$ are very close, indicating a condensate state. As the well depth decreases, the particle that occupies $\phi_{2}$ becomes more and more likely to escape, therefore $\kappa_{2}$ finally goes to zero when the well cannot support three atoms anymore ( $V_{0} \sim 0.5$ ).

### 4.3.1 Large Atom Number Limit

If the number of particles considered is large or $N g_{1 D} \gg 1 / L$, a relation between the maximum atom number $N$ and the well depth $V_{0}$ could be written down analytically by using the Thomas-Fermi approximation. By minimizing the energy functional $E \equiv\langle\psi| H|\psi\rangle$, where $\psi=\phi_{1}\left(\vec{r}_{1}\right) \phi_{1}\left(\vec{r}_{2}\right) \cdots \phi_{1}\left(\vec{r}_{N-1}\right) \phi_{2}\left(\vec{r}_{N}\right)$ and $H=h(x)+E_{\text {int }}$ with $h(x)$ being the single particle Hamiltonian and $E_{\text {int }}$ the interaction energy, two coupled nonlinear equations are obtained

$$
\begin{align*}
\left(h(x)+g(N-2)\left|\phi_{1}\right|^{2}+2 g\left|\phi_{2}\right|^{2}\right) \phi_{1} & =\mu_{1} \phi_{1}  \tag{4.2}\\
\left(h(x)+2 g(N-1)\left|\phi_{1}\right|^{2}\right) \phi_{2} & =\mu_{2} \phi_{2} . \tag{4.3}
\end{align*}
$$

Neglecting the kinetic energy and the nonlinear term with $\phi_{2}$ (i.e. when $\phi_{2}$ is almost delocalized) in Eq. (4.2), we can obtain a Thomas-Fermi expression for $\phi_{1}$. Then Eq. (4.3) becomes a single particle equation for $\phi_{2}$ with an effective potential contributed from the other atoms. Since the atom will escape if the depth of the effective well becomes zero, the potential well can support $N$ atoms when

$$
\begin{equation*}
V_{0}>2 g(N-1) / L \tag{4.4}
\end{equation*}
$$

Note that the point where potential energy is equal to zero is chosen to be at the top of the well.

### 4.3.2 Small Atom Number Limit

In the limit of small atom number, we can diagonalize the many-body Hamiltonian directly. The Hamiltonian $\hat{H}$ contains two parts, the single particle term $\hat{H}_{s p}$ and the interaction term $\hat{H}_{\text {int }}$,

$$
\begin{equation*}
\hat{H}=\hat{H}_{s p}+\hat{H}_{i n t} \tag{4.5}
\end{equation*}
$$

We can express $\hat{H}_{s p}$ in the second quantization form as

$$
\begin{equation*}
\hat{H}_{s p}=\sum_{j} E_{j} \hat{n}_{j} \tag{4.6}
\end{equation*}
$$

where $E_{j}$ is the energy of the $j$ th single particle state which has $N_{j}$ atoms, and $\hat{n}_{j}$ is the number operator. The $N$ particle basis is chosen to be the states $\psi_{j}(x)$ in an infinite well ( $D \gg L, D$ being the height of the well) which has either an odd or even wavefunction, $\sin (k x)$ or $\cos (k x)$, inside the well and a wavefunction of the form $e^{ \pm \kappa x}$ outside the well with $\kappa=\sqrt{k^{2}-2 V_{0}}$ so that the wave function is continuous.

The interaction term $\hat{H}_{\text {int }}$ can be written as

$$
\begin{equation*}
\hat{H}_{i n t}=\frac{1}{2} \sum_{j_{1}, j_{2}, j_{3}, j 4} \hat{a}_{j_{1}}^{\dagger} \hat{a}_{j_{2}}^{\dagger} \hat{a}_{j_{3}} \hat{a}_{j_{4}}\left\langle j_{1} j_{2}\right| U\left|j_{3} j_{4}\right\rangle \tag{4.7}
\end{equation*}
$$

where $\hat{a}^{\dagger}, \hat{a}$ are the creation, annihilation operators respectively, and

$$
\begin{equation*}
\left\langle j_{1} j_{2}\right| U\left|j_{3} j_{4}\right\rangle=\int d x \psi_{j_{1}}^{*} \psi_{j_{2}}^{*} \psi_{j_{3}} \psi_{j_{4}} \tag{4.8}
\end{equation*}
$$



Figure 4.5: Bound states for 1,2 , and 3 atoms in a well found by diagonalizing the Hamiltonian in the limit of small atom number. The interaction strength used in this calculation is $g=1$, in units of $\hbar^{2} / m L$. The thin curves are the bound excitations. The dashed-dotted curve between the two vertical dashed lines corresponds to a stable state for 1 or 2 atoms but not for 3 atoms. Well depths larger than the value indicated by the vertical dotted line will have bound excited states for 3 atoms. Plots courtesy of Artëm Dudarev [48].

Fig. 4.5 shows the bound states for 1,2 , and 3 atoms in a well found by diagonalizing the Hamiltonian in Eq. (4.5).

An alternative approach, the Diffusion Monte Carlo approach [51], has also been used by the authors of [48] to calculate the bound levels in the limit of small atom number. Although the finite evolution time and the finite size effect cause some errors in the calculation, the result is still in good agreement with that obtained by diagonalizing the Hamiltonian. Fig. 4.6 shows different regimes that have bound states of $1,2,3,4$, and $N \geq 5$ atoms found by the Diffusion Monte Carlo approach. As shown by the red dashed line, the transition from 4 atoms to 5 atoms is already close to what is obtained from the Thomas-Fermi approximation, as given in Eq (4.4).

### 4.3.3 Excitations

Two types of excitations would occur in our system: one that does not change the atom number in the well (type $I$ ) and one that changes the atom number (type $I I$ ).

To demonstrate this in more detail, let's consider the bound excitations for a three-atom system. In Fig. 4.5, every thin curve between any two adjoining thick curves corresponds to a bound excited state, and has the same atom number as the ground state represented by the first thick curve below it. The first type of excitation (type $I$ ) thus corresponds to the transitions from one thick curve to any thin curve which are above it and below the next thick curve. Therefore, the total atom number remains the same in this case. The second type of excitation (type $I I)$ corresponds to the transitions from one thick curve to another thick curve and thus changes the total atom number.

In order to avoid these excitations, the process of reducing the well depth


Figure 4.6: Different potential-parameter regimes that have bound states for 1, 2, 3,4 , and $N \geq 5$ atoms. The result is obtained by using the diffusion Monte Carlo approach as explained in [51]. The size of the square dots shows the estimated error caused by the finite evolution time and the finite size effect. The inset shows the allowed atom number for interaction strength $g=1$ as indicated by the vertical dashed line. The red dashed line represents the transition from 4 to 5 atoms calculated by using Thomas-Fermi approximation. Plots courtesy of Artëm Dudarev [48].
has to be sufficiently slow such that neither type $I$ nor type $I I$ will be excited. $t_{\text {gap }}=\hbar / E_{\text {gap }}$ thus gives us a good estimation of the time scale during which the system could be excited to the next bound state.

To calculate $E_{\text {gap }}$, let's assume the time dependence of the potential to be $V(t)=V_{0} e^{-t / \tau}$, which is exactly the form used in our experiment, and the atom number $N \propto V(t)^{\alpha}$ with $\alpha$ on the order of 1 . For the purpose of estimation, we will use the GP equation.

In the case of type $I$ excitation, the excitation energy gap can be calculated from the the energy of Bogoliubov excitation of the longest wavelength $\omega_{\text {ext }}=$ $E_{\text {gap }} / \hbar=\sqrt{\bar{\rho} U_{0} / m} \pi / \hbar L[2]$, where $\bar{\rho}$ is the 3D average density. The time scale is therefore $t_{\text {gap }}=\hbar^{2} L / \sqrt{\bar{\rho} U_{0} / m}$.

In the case of type $I I$ excitation, $E_{g a p}$ is the energy difference between the $N$ - and ( $N-1$ )-atom states when one atom just escapes from the well. For the process to be adiabatic, the change of the potential $\Delta V$ during $t_{g a p}=\hbar / E_{\text {gap }}$ has to be smaller than the difference of well depths supporting $N+1$ and $N$ atoms, $V_{0, N+1}-V_{0, N}$. We can then estimate the maximum rate of adiabatically reducing the well depth, $r=V_{0}^{\prime}$, from

$$
\begin{equation*}
\Delta V=r_{\text {max }} t_{\text {gap }}=r_{\max }\left(\frac{\hbar}{E_{\text {gap }}}\right) \ll V_{0, N+1}-V_{0, N} . \tag{4.9}
\end{equation*}
$$

For large atom number, as one atom leaves the well, the total energy of the remaining $N-1$ atom is $E_{N-1}=(N-1)(N-2) g / L-V_{0}(N-1)$. The excitation energy gap is then given by the difference between the energy of the ( $N-1$ )-atom state and the $(N-2)$-atom state, $E_{g a p}=E_{N-1}-E_{N-2} \propto N g / L$, if we keep only the first order terms (proportional to $N$ ). From Eq. (4.4), $V_{0, N+1}-V_{0, N}$ can be calculated to be $2 g / L$. The maximum rate $r_{\max }$ is therefore proportional to $g^{2} N$,
which indicates the difficulty of maintaining the adiabatic condition at small atom number. However, the factor of $g^{2}$ tells us that stronger confinement will be helpful to speed up the process.

### 4.4 Number Fluctuation in a Degenerate Bose Gas

The fluctuation of the number of particles in a classical ideal gas is given by $\Delta N=$ $N^{1 / 2}$, where $N$ is the mean atom number [52]. However, for a degenerate Bose gas, this is not necessarily true and has been the topic of intense theoretical debate.

In the case of an ideal Bose gas, number fluctuations have been studied in a homogeneous case (box trap) [53, 54, 55] and, more recently, in an inhomogeneous case (harmonic trap) [56, 57, 58, 59, 60, 61] by both microcanonical and canonical approaches.

For a weakly interacting Bose gas, number fluctuations were first studied in Ref. [62]. The effect of interaction was then further explored by considering the thermal excitation of phonons in the thermodynamic limit with number-nonconserving [63] and number-conserving [64] Bogoliubov methods, where the number fluctuations were found to be $\Delta N \propto N^{4 / 3}$. Most recently, an isolated system of finite atom number was considered for studying number fluctuations in a harmonic trap [65] and in a box [66]. The result obtained in Ref. [65] predicted number fluctuation proportional to $N^{22 / 15}$ while Ref. [66] predicted $\Delta N \propto N^{1 / 2}$. Since the minimum predicted number fluctuation is $N^{1 / 2}$, which is also the "shot noise limit," we use $N^{1 / 2}$ as a benchmark for the comparison of our experimental data.

### 4.5 Experiment

This section describes the experimental realization of a system which incorporates single-atom counting with a Bose-Einstein condensate (BEC) apparatus, and the first direct measurement of sub-Poissonian atom number statistics in a degenerate Bose gas. Several experimental details including the stabilization of the optical trap, characterization of technical noise, and the atom counting technique are also discussed.

### 4.5.1 BEC in an Ultra-stable Optical Box Trap

The idea of achieving sub-Poissonian number fluctuations is the following mechanism (Fig. 4.3): for a fully loaded trap, the potential depth $U_{0}$ in the shallowest direction will be equal to the chemical potential $\mu$ of the degenerate Bose gas (if one neglects tunnelling out of the trap). Since, in the Thomas-Fermi (TF) limit, the atom number $N$ is proportional to $\mu^{5 / 2}$ for a harmonic trapping potential as, the atom number is related to the trap depth as $N \propto U_{0}^{5 / 2}$. It is thus clear that precise control of the trap depth (even when assuming tunneling and a realistic nonharmonic potential) can lead to precise control of the atom number. However, in BEC experiments reported thus far, typical shot-to-shot number fluctuations greatly exceed the Poissonian limit, presumably due to technical noise. Therefore, an ultra-stable optical trap which has a controllable trapping volume and depth is necessarily for us.

To study the sub-Poissonian number statistics, a cold atomic cloud of about $10^{6}$ atoms is prepared in a QUIC magnetic trap by stopping the evaporation shortly before the onset of BEC. The atoms are then transfered back to the center of the glass cell by reducing the current in the two quadrupole coils. Once the atoms are moved back to the center, the magnetic trap is ramped down and the atoms


Figure 4.7: Pictorial of the optical trap. The trap is formed by five Gaussian sheets, with two pairs propagating vertically in $y$ and $z$ directions and one horizontal sheet in $x$ to hold the atoms against gravity. All beams originate from a 10 W laser at $\lambda=532 \mathrm{~nm}$ and are tightly focused in one axis at the position of the condensate. Each sheet pair is derived from the first order deflections of multiple frequency acousto-optical modulators (AOMs), providing independent control of the position and power [67]. Gravity points in $-z$ direction in this pictorial.
are transfered to a gravito-optical. Followed by the evaporation in this large-volume optical trap, a BEC of $2 \times 10^{5} \mathrm{Rb}^{87}$ atoms is produced. The BEC is then compressed to a cigar shape by the same mean of obtaining one-dimensional BECs. However, the laser power used here is much less than that in the 1D BEC case, and the separation of the "end cap" beams is also smaller ( $\sim 10 \mu \mathrm{~m}$ ).

The final optical trap is formed by five Gaussian sheets, with two pairs propagating vertically and one horizontal sheet to hold the atoms against gravity, shown pictorially in Fig. 4.7. All beams originate from a 10 W laser at $\lambda=532 \mathrm{~nm}$ and are tightly focused in one axis at the position of the condensate. Each sheet pair is derived from the first order deflections of multiple frequency acousto-optical modulators (AOMs), providing independent control of the position and power [67]. The sheet pairs and the horizontal sheet have a maximum power of $P_{x}^{\max }=25 \mathrm{~mW}$, $P_{y}^{\max }=80 \mathrm{~mW}, P_{z}^{\max }=100 \mathrm{~mW}$ per sheet and a $1 / \mathrm{e}^{2}$ radius of $2.5 \mu \mathrm{~m} \times 100 \mu \mathrm{~m}$ for the $x$ and $y$ axes and $3.4 \mu \mathrm{~m} \times 200 \mu \mathrm{~m}$ for the $z$ axis, respectively.

Fig. 4.8 shows the calculated potentials in the $x, y$, and $z$ directions, given by the typical beam parameters in the experiment. The calculations are based on the following formula:

$$
\begin{align*}
U(x, 0,0) & =U_{G}\left(x-\frac{L_{x}}{2}, 0, W_{1 x}, W_{1 y}, P_{x}\right)+U_{G}\left(x+\frac{L_{x}}{2}, 0, W_{1 x}, W_{1 y}, P_{x}\right)  \tag{4.10}\\
U(0, y, 0) & =U_{G}\left(0, y-\frac{L_{y}}{2}, W_{1 x}, W_{1 y}, P_{y}\right)+U_{G}\left(0, y+\frac{L_{y}}{2}, W_{2 x}, W_{2 y}, P_{y}\right) \tag{4.11}
\end{align*}
$$

and

$$
\begin{equation*}
U(0,0, z)=U_{G}\left(0, z, W_{3 y}, W_{3 y}, P_{z}\right)+m g z, \tag{4.12}
\end{equation*}
$$

with a constant offset not shown in the equation. In these equations, $m$ is the mass

Table 4.1: Beam parameters of optical trap used to study sub-Poissonian statistics.

| Sheet pair propagating in the $y z$ plane |  |  |
| :---: | :---: | :---: |
| $P_{x}$ |  | power of single beam |
| $W_{1 x}$ | $2.5 \mu m$ | beam waist in $x$ direction |
| $W_{1 y}$ | $100 \mu m$ | beam waist in $y$ direction |
| $L_{x}$ | $5 \mu m$ | separation of the sheet pair |
| Sheet pair propagating in the $x z$ plane |  |  |
| $P_{y}$ |  | power of single beam |
| $W_{2 x}$ | $100 \mu m$ | beam waist in $x$ direction |
| $W_{2 y}$ | $2.5 \mu \mathrm{~m}$ | beam waist in $y$ direction |
| $L_{y}$ | $11 \mu m$ | separation of the sheet pair |
| Single sheet propagating in the $x y$ plane |  |  |
| $P_{z} \quad$ beam power |  |  |
| $W_{3 y}$ | $200 \mu m$ | beam waist in $y$ direction |
| $W_{3 z}$ | $3.4 \mu \mathrm{~m}$ | beam waist in $z$ direction |

of ${ }^{87} \mathrm{Rb}$ atom, $g$ is the acceleration of gravity, and the form of $U_{G}$ is

$$
\begin{equation*}
U_{G}=-\frac{\hbar \Gamma^{2}}{8 I_{s}}\left(\frac{1}{\omega_{0}-\omega}+\frac{1}{\omega_{0}+\omega}\right) \frac{2 P}{\pi W_{q} W_{p}} e^{-\frac{2 q^{2}}{W_{q}^{2}}} e^{-\frac{2 p^{2}}{W_{p}^{2}}} \tag{4.13}
\end{equation*}
$$

where $\hbar$ is the Planck constant divided by $2 \pi, \Gamma$ is the natural linewidth, and $I_{s}$ is the saturation intensity. The definitions and the magnitudes of the rest of the parameters are listed in Table. 4.1.

In our experiment, the trap depth $U_{0}$ is ramped down adiabatically over a period of 1500 ms with an exponential shape. $U_{0}$, being the lowest evaporation barrier, determines the chemical potential and thus the atom number. Its final value is varied to obtain different atom numbers. For typical operating conditions, $U_{0} / k_{B}=22 \mathrm{nK}\left(\right.$ for $P_{x}=0.2 \mathrm{~mW}$ ) with the weakest trapping potential in the $x$ direction where the decrease of the trap depth (or "evaporation") occurs. The calculated geometric mean trapping frequency is $\bar{\omega}=2 \pi \times 300 \mathrm{~Hz}\left(\omega_{x}=2 \pi \times 200 \mathrm{~Hz}\right.$,


Figure 4.8: Potential plots of the optical trap. (a) This plot shows the potential energy $U$ at $y=z=0$. In the $x$ direction, the atoms are confined by two Gaussian sheets with a separation of $l_{x}=5 \mu \mathrm{~m}$. (b) Confinement in the $y$ direction is of similar shape to the $x$ direction but deeper. (c) In the $z$ direction, gravity and a Gaussian sheet form a gravito-optical trap. The dashed curves in (b) and (c) show the same potentials for the different scales given on the right axes. Each potential plot is calculated based on measured beam characteristics and are appropriate for the order of 100 atoms.
$\omega_{y}=2 \pi \times 200 \mathrm{~Hz}, \omega_{z}=2 \pi \times 630 \mathrm{~Hz}$ ). Since the atom number in the stability of $U_{0}$ has significant effect on the number fluctuation, a lot of effort is made on the beam pointing stability and stabilizing the beam intensities (including the shortterm fluctuation and long-term drift).

To stabilize the beam intensity, we pick off the back reflection of each beam from one of the optics (for example, a lens) in the beam path and stabilize its intensity. The method of picking off the beam from the back reflection is found to be better than using a glass plate because the glass plates might have thermal effect which causes extra instability. The best choice of the optics is usually the one closest to the atoms if it is available. This choice can reduce the possibility of getting intensity fluctuation from the subsequent optics. The pick-off beam is then sent into a photodiode (THORLABS, FDS100; rise time: 20 ns , dark current: 20 nA , responsibility @ $532 \mathrm{~nm}: 0.3 \mathrm{~A} / \mathrm{W}$ ) whose output is feedback via a PID circuit [13] to the voltage input of a bi-phase attenuator (Mini-Circuits, ZAS-3; 1MHz-2GHz) which attenuates the RF power to the corresponding AOM (Fig. 4.9).

Since the beam pointing stability is directly related to the frequency stability of the RF sent to the corresponding AOM, we also stabilize the RF frequency. To stabilize the RF frequency, we implement a homebuilt, temperature-stabilized voltage controlled oscillator (VCO). Each VCO (Mini-Circuits, POS-150; 15-2000 MHz ) is in good contact with a copper bar (Fig. 4.10). The bar has a hole drilled in the middle in which a thermistor (Panasonic, ERT-D2FHL103S; resistance @ $25^{\circ} \mathrm{C}$ : $10 \mathrm{k} \Omega \pm 15 \%$, responsibility @ $20-30^{\circ} \mathrm{C}: 450 \Omega / C$ ) is installed. The readout of the thermistor is sent to an ultra-stable temperature controller (Wavelength Electronics, WTC3243; stability @ $25^{\circ} \mathrm{C}: 0.008^{\circ} \mathrm{C} /$ day) whose output is used to feedback to a thermal electric cooler (TEC, not shown in the photo) which is in contact with the


Figure 4.9: Intensity feedback loop for the ultra-stable optical box trap. The back reflection of the beam is picked off and used by a PID circuit to feedback the voltage input of the RF attenuator which controls the beam power.
copper bar. The copper bar, the thermistor, and the TEC are all covered by a layer of hot melt glue to insulate from the air, although the fluctuation of the room temperature is well below half degree Celsius.

Understanding every possible source of technical noise is also a very important issue, because any resulting atom number fluctuation can easily wash out the number statistics we want to observe. Hence, we measure the technical noise from all the possible sources and calculate the corresponding number fluctuations.

In the $x$ direction (where the well depth is lowered) and the $y$ direction (where the sheet holds the atoms against the gravity), the beam is stabilized by the PID lock circuit and the root-mean-square (rms) fluctuation of the laser intensity is measured to be $\Delta P_{x, r m s}=\Delta P_{z, r m s} \sim 1 \%$. In the $y$ direction, the beam is not stabilized, and the measured rms fluctuation of the laser intensity is about $\Delta P_{y, r m s} \sim 5 \%$.

The stability of the relative position for each beam is basically limited by the frequency stability of the VCOs , which is about 25 kHz . With the correspondence


Figure 4.10: Temperature-stabilized voltage controlled oscillators (VCOs). Each VCO on the board (hardly seen in the photo) is in good contact with a copper bar. A thermistor is installed inside the bar and the readout is sent to an ultra-stable temperature controller which is used to feedback a thermal electric cooler (TEC) that is in touch with the copper bar (not shown in the photo). The copper bar, the thermistor, and the TEC will all be covered by a layer of hot melt glue to insulate from the air although the fluctuation of the room temperature is well below half degree Celsius.
between the frequency and the beam position as $1 \mu m / \mathrm{MHz}$, this gives an absolute fluctuation of $\Delta L_{x, r m s}=\Delta L_{y, r m s} \sim 0.025 \mu m$ in every direction and a relative fluctuation of $\Delta L_{x, r m s} / L_{x} \sim 0.5 \%$ and $\Delta L_{y, r m s} / L_{y} \sim 0.23 \%$.

Based on the these measurements, we can estimate the probable number fluctuations caused by the technical noises. In order to do this calculation, one should use the method described in [48] to calculate the atom number and the chemical potential in a finite-height potential well, and also consider the quantum tunneling through the well. However, for simplicity, let's assume a three dimensional harmonic trap, apply the TF approximation, and neglect any possible tunneling in the well. Because there is no tunneling, the chemical potential will be equal to the well depth,

$$
\begin{equation*}
\mu=U_{0} \tag{4.14}
\end{equation*}
$$

and the atom number will be related to the chemical potential as [2]

$$
\begin{equation*}
N=\frac{8 \pi}{15}\left(\frac{2 \mu}{m \bar{\omega}^{2}}\right)^{3 / 2} \frac{\mu}{g}, \tag{4.15}
\end{equation*}
$$

where $m$ is the mass, $\bar{\omega}=\left(\omega_{x} \omega_{y} \omega_{z}\right)^{1 / 3}$ is the geometric mean of the trapping frequency, and $g=4 \pi \hbar^{2} a_{s} / m$ is the coefficient of the interaction with the s-wave scattering length $a_{s}$. From Eq. (4.14), Eq. (4.15), and the measured technical noise, we can therefore estimate the corresponding number fluctuation for each parameters used in the experiment. The results are listed in Table. 4.2 for a typical case of $N=136$. The overall atom number fluctuation caused by these technical noises is thus

$$
\begin{equation*}
\frac{\Delta N_{\text {technical }}}{N}=\sqrt{\sum_{i}\left(\frac{\Delta N_{i}}{N}\right)^{2}}=4.3 \% \text {. } \tag{4.16}
\end{equation*}
$$

Table 4.2: Measured noise and the corresponding number fluctuation.

| Parameters | rms Fluctuation | Corresponding $\Delta N_{i} / N$ |
| :---: | :---: | :---: |
| $P_{x}$ | $1 \%$ | $2 \%$ |
| $P_{y}$ | $5 \%$ | $2.4 \%$ |
| $P_{z}$ | $1 \%$ | $0.076 \%$ |
| $L_{x}$ | $0.5 \%$ | $2.2 \%$ |
| $L_{y}$ | $0.23 \%$ | $2 \%$ |

### 4.5.2 Atom Counting

What we also learn from the estimated number fluctuation Eq. (4.16) is that there will be a limit for observing the sub-Poissonian number statistics. Because, when the number fluctuation caused by the technical noise is greater than the Poissonian number fluctuation, we will not be able to resolve it. Therefore, in order to observe statistics below the Poissonian fluctuation, we need

$$
\begin{equation*}
\Delta N_{\text {technical }}<\Delta N_{\text {Poissonian }}, \tag{4.17}
\end{equation*}
$$

which means that we can observe sub-Poissonian number fluctuation only if

$$
\begin{equation*}
N<450 . \tag{4.18}
\end{equation*}
$$

The two most popular methods of (destructively) measuring the atom number in the cold atom experiments are the absorption and fluorescence methods. For small atom numbers, the absorption imaging might be invisible due to the fluctuation of the probe beam intensity. The fluorescence imaging, on the other hand, has the advantage of being background-free, although its signal strength is much weaker than the absorption method. Because of these facts, two methods are employed


Figure 4.11: Capture efficiency for different MOT intensities. The MOT uses six beams with a diameter of 1 mm , an intensity of $65 \mathrm{~mW} / \mathrm{cm}^{2}$, a detuning of about 10 MHz , and a magnetic field gradient of $260 \mathrm{G} / \mathrm{cm}$. Transfer from the optical trap to the MOT shows a saturation behavior with MOT beam intensity, indicating that all atoms are captured.
for measurements of atom numbers in our experiment. For numbers of order $10^{3}$ or larger, absorption imaging is used yielding spatial and number information. At lower atom numbers however, fluorescence imaging is used because of the higher signal-to-noise ratio in this regime.

The fluorescence imaging used in our experiment is accomplished by transferring the atoms into a small magneto-optical trap (MOT) [68, 69]. The MOT uses six beams with a diameter of 1 mm , an intensity of $65 \mathrm{~mW} / \mathrm{cm}^{2}$, a detuning of about 10 MHz , and a magnetic field gradient of $260 \mathrm{G} / \mathrm{cm}$. As shown in Fig. 4.11, transfer from the optical trap to the MOT shows a saturation behavior with MOT beam intensity, indicating that all atoms are captured. The resulting fluorescence signal is then detected by a charge-coupled-device (CCD) camera (Apogee, Alta U47+; air-cooled) for 100 ms . Since atomic density is low, there is little possibility for multiple scattering events during detection. Therefore, the measured fluorescence signal from the MOT is proportional to the number of atoms present.

In order to obtain high accuracy on the measured atom number, the fluorescence signal detected by the CCD camera is calibrated against an avalanche photodiode (APD) from Perkin Elmer (model SPCM-AQR-14). Under optimized MOT intensity and detuning, the APD, which is operated in the single-photoncounting mode, can easily resolve the fluorescence rate of a single atom (typically $10,000 \mathrm{~s}^{-1}$ ). However, these MOT settings are always not ideal for capturing the atoms released from the optical box trap, which requires high capture efficiency. Therefore, to calibrate the atom number measured by the fluorescence imaging, we first experimentally determine the correspondence between the fluorescence counts and the measured atom number, $\alpha=N_{\text {counts }}^{A P D} / N_{\text {counts }}^{A P D}$, under the MOT setting where the fluorescence rate of a single atom is resolvable. To do this, we prepare a different
number of atoms by randomly capturing atoms from the background vapor. Then, we measure the atom number with the APD as shown in Fig. 4.12. From the slope of the fit, we can find $\alpha$.

Next, let's link the atom numbers measured under different MOT settings. The measured atom number $N_{\text {atoms }}$ is related to the integrated number of counts on the CCD chip $N_{\text {counts }}$ by [15]

$$
\begin{equation*}
N_{\text {atoms }}=\frac{8 \pi\left[1+4(\Delta / \Gamma)^{2}+I_{0} / I_{\text {sat }}\right]}{\Gamma\left(I_{0} / I_{\text {sat }}\right) t_{\text {exp }} \eta_{\text {count }} d \Omega} N_{\text {counts }}, \tag{4.19}
\end{equation*}
$$

where $\Delta$ is the MOT detuning, $\Gamma$ is the natural linewidth, $I_{0}$ is the MOT intensity, $I_{\text {sat }}$ is the saturation intensity, $t_{\text {exp }}$ is the CCD exposure time, $\eta_{\text {count }}$ is the quantum efficiency of the CCD camera (in counts/photon), and

$$
\begin{equation*}
d \Omega=\frac{\pi}{4}\left(\frac{f}{(f / \sharp) d_{0}}\right)^{2} \tag{4.20}
\end{equation*}
$$

is the solid angle of the collected light by CCD with $f$ being the focal length of the imaging lens, $d_{0}$ is the distance between the MOT and the lens, and $f / \sharp$ is the $f$-number of the lens. The magnetic field and the situation of atoms being localized in the MOT lattice are ignored in Eq. (4.19). The atom number measured in the fluorescence imaging can thus be calibrated through the formula,

$$
\begin{equation*}
N_{\text {atoms }}^{A P D}=\frac{1+4\left(\Delta^{\text {fluo }} / \Gamma\right)^{2}+\left(I_{0}^{\text {fluo }} / I_{\text {sat }}\right)}{1+4\left(\Delta^{\text {APD }} / \Gamma\right)^{2}+\left(I_{0}^{A P D} / I_{\text {sat }}\right)} \frac{I_{0}^{\text {APD }}}{I_{0}^{\text {fluo }}} \alpha N_{\text {atoms }}^{\text {fluo }}, \tag{4.21}
\end{equation*}
$$

where $\Delta^{\text {fluo }}=-7.5 \mathrm{MHz}, \Delta^{A P D}=-2.5 \mathrm{MHz}, I_{0}^{f l u o}=64 \mathrm{~mW} / \mathrm{cm}^{2}$, and $I_{0}^{A P D}=8$ $\mathrm{mW} / \mathrm{cm}^{2}$.

An alternative way to calibrate the atom number obtained from the fluo-


Figure 4.12: Calibration of atom number measured by fluorescence imaging. At the typical MOT intensity and detuning used in the experiment, the avanlanche photodiode (APD) is not able to resolve the fluorescence rate of a single atom. Therefore, the dependence of the atom numbers on the fluorescence count is first determined under the MOT setting where the fluorescence rate of a single atom is resolvable. This is accomplished by preparing a different number of atoms in the MOT from random capture of the background vapor and then measuring the atom number with both the CCD camera and the APD. From the slope of the fit, $\alpha=$ $N_{\text {counts }}^{A P D} / N_{\text {counts }}^{A P D}$ can be obtained. The atom number measured in the fluorescence imaging $N_{\text {atoms }}^{\text {fluo }}$ can then be calibrated by Eq. (4.21).


Figure 4.13: Step-wise signal of the avalanche photodiode (APD). The atom number from CCD fluorescence imaging is calibrated by an APD operating in photon counting mode. The fluorescence counting rate per atom is $9,000 \mathrm{~s}^{-1}$ with a background of $35,000 \mathrm{~s}^{-1}$. The signals shown are for random loading of the MOT from background vapor. The MOT uses six beams with a diameter of 1 mm , an intensity of $8 \mathrm{~mW} / \mathrm{cm}^{2}$, a detuning of about 5 MHz , and a magnetic field gradient of 260 $\mathrm{G} / \mathrm{cm}$. The horizontal dashed lines from bottom to top indicate the fluorescence levels of the background, one atom, two atoms, three atoms, four atoms, and five atoms. The time step of fluorescence binning is 100 ms .
rescence imaging is accomplished by first operating the MOT in a regime where discrete fluorescence levels of different small atom numbers are observable on an APD, as shown in Fig. 4.13, so that we know exactly how many atoms are being calibrated. The MOT, with a few atoms in it, is then suddenly switched to the typical operating settings described above and the new fluorescence level is obtained for the same atom number (Fig. 4.14). This yields an absolute accuracy in atom number better than $\pm 10 \%$ (For absorption imaging, the absolute accuracy in atom number is estimated to be $\pm 25 \%$.) The result is consistent with calculations of the ratio of scattering rates for the given settings (Eq. (4.21)). Atom numbers may also be estimated by using the TF approximation with $\mu=U_{0}$ and modeling the trap by a harmonic potential. This yields a $35 \%$ deviation below the measured atom numbers, indicating rough accuracy of this model.

Due to inhomogeneity of the optical potential, after the potential barrier is lowered, some atoms remain outside of the trap. These atoms are removed before the final number detection by raising the potential barrier to its maximum intensity ( $U_{0}^{\max } / k_{B}=3 \mu \mathrm{~K}$ ) and using a supplementary optical sheet pair to sweep the residual atoms away from the well. A magnetic gradient of about $5 \mathrm{G} / \mathrm{cm}$ is also applied to remove atoms outside the range of the sweeping beams. A cartoon view of these processes is shown in Fig. 4.15.

### 4.5.3 Experimental Result

Since we know that it is only possible to observe sub-Poissonian number statistics for less than 500 atoms, it is important to verify the ability of our optical box trap for loading small atom number before we measure any atom number statistics. Therefore, we vary the well depth and measure the number of atoms trapped inside


Figure 4.14: Calibration of atom number between two MOT settings. (a) Atoms are first prepared in a MOT where the fluorescence level of a single atom is resolvable (gray regions). The MOT is then suddenly switched to the setting used in the typical detection (white regions). (b) Change of fluorescence levels between the two MOT settings. (c) Calibration is obtained from the averaged data of (b).


Figure 4.15: Cleaning processes prior to the detection. (a) The potential barrier is raised to protect the atoms inside the trap. The atoms remaining outside of the trap are removed by using a supplementary optical sheet pair to sweep the residual atoms away from the well. (b) A magnetic gradient of about $5 \mathrm{G} / \mathrm{cm}$ is also applied to remove atoms outside the range of the sweeping beams.


Figure 4.16: Dependence of atom number on the trap depth. The atom number $N$ shows a nice dependence on the trap depth $U_{0}$ for 30 to 500 atoms, indicating the ability of our optical box trap to provide sub-Poissonian number statistics. The data could be used to determine the shape of the trap.
the optical box. As shown in Fig. 4.16, a nice correspondence exists between the atom number $N$ and the trap depth $U_{0}$ for 30 to 500 atoms, indicating the ability of our optical box trap to acieve sub-Poissonian number statistics. Fig. 4.16 can also be used to determine the shape of our trap.

The atom number statistics is measured simply by repeating the atom number measurement many times. A typical number of runs is about one hundred. Fig. 4.17 shows the measured fluctuations normalized to the Poissonian case $\sigma_{N} / \sqrt{N}$ (solid circles) as a function of atom number $N$. Sub-Poissonian fluctuations are ob-
served for atom numbers below 500 as we expect, where the technical noise is no longer dominant. The measured fluctuation at $N=60$ atoms is approximately $60 \%$ of the corresponding Poissonian fluctuation. This series of 100 measurements is shown as a histogram plot in Fig. 4.18 where the raw data is also given. A Gaussian fit to the data distribution indicates a measured standard deviation of $\sigma_{N} / N=7.9 \%$ with a $99 \%$ confidence interval of $[7.4 \%, 8.5 \%$ ]. This indicates a reliable measurement of deviation well below the Poissonian value of $N^{1 / 2} / N=(12.9 \pm 0.5) \%$, where the error is given by absolute accuracy in atom number.

A $99 \%$ confidence interval is defined as [70]

$$
\begin{equation*}
\left[\sqrt{\frac{n-1}{\chi_{0.005}^{2}(n-1)}} \sigma_{N}, \sqrt{\frac{n-1}{\chi_{0.995}^{2}(n-1)}} \sigma_{N}\right], \tag{4.22}
\end{equation*}
$$

where $\sigma_{N}$ is the measurement standard deviation, $n$ is the number of samples, and $\chi_{0.005}^{2}(n-1), \chi_{0.995}^{2}(n-1)$ are the upper $0.5 \%, 99.5 \%$ points of the chi-square distribution, respectively, with $n-1$ degrees of freedom. A simple interpretation of the $99 \%$ confidence interval is that, if you repeat the $n$ measurements for $i$ times and calculate such interval from each $\sigma_{N, i}$, then $99 \%$ of the intervals will contain the number fluctuation when you perform infinite measurements, that is, the "true" value of $\sigma_{N}$.

For larger atom numbers $(N>500)$, the measured fluctuation is very close to what is estimated from several possible technical noise sources as given in Eq. (4.18) and comparable to the Poissoian fluctuation; therefore, only Poissonian statistics is observed. For lower atom numbers ( $N<500$ ), the contribution from the technical noise is negligible and thus sub-Poissonian statistics is observed. Background capture during the detection is a major contribution to the measured fluctuation for $N<500$. The background capture during the 100 ms image-taking process with no


Figure 4.17: Observation of sub-Poissonian number statistics. The solid circles show the measured fluctuation normalized to the Poissonian case as a function of atom number. The vertical error bars are the $68 \%$ confidence intervals for each measurement. The horizontal error bars represent the absolute accuracy in atom number. Sub-Poissonian number statistics is observed for atom numbers below 500 where the fluctuations due to technical noise are not larger than the Poissonian fluctuation. The dashed curve is the estimated fluctuation from technical noise and background capture.


Figure 4.18: Number statistics for mean atom number $N=60$. (a) Raw data of atom number statistics containg 100 sample points for 60 atoms. (b) Histogram of the measured number distribution shows sub-Poissonian statistics for mean atom number $N=60$. The solid curve is the Gaussian fit to the distribution where the number fluctuation is extracted. The dashed curve is the Poissonian distribution with the same mean atom number.
atoms present is measured to have a mean of $N_{\mathrm{bg}}=5$ atoms. This random process, which has Poissonian statistics, broadens the measured atom number distribution. A simple estimate of atom number fluctuation from both technical noise and background capture, assuming a constant background capture for different atom number $N$, results in

$$
\begin{equation*}
\sqrt{\left(\delta_{\text {tech }}\right)^{2}+\left(\left(N_{\mathrm{bg}}\right)^{1 / 2} / N\right)^{2}}, \tag{4.23}
\end{equation*}
$$

shown as the dashed curve in Fig. 4.17. This result gives a similar increasing tendency as for the measured fluctuations. A more detailed calculation without TF approximation shows an increase in sensitivity to trap fluctuations at lower atom numbers [48].

We have also measured the dependence of atom statistics on the ramp time, $t_{\text {ramp }}$, in order to probe the many-body dynamics. The results are displayed in Fig. 4.19 where the fluctuations (normalized to the Poissonian case) are plotted as a function of $t_{\text {ramp }}$. We find that for time scales shorter than 250 ms , the atom statistics become super-Poissonian, while for longer times they are sub-Poissonian. This result provides the timescale for adiabatic following, a key feature of the process. An example of super-Poissonian statistics is shown in Fig. 4.20.

### 4.5.4 New Progress and Outlook

After the result of the observed sub-Poissonian fluctuation was published [71], the beam pointing stability was further improved intending to achieve sub-Poissonian number statistics for smaller atom numbers $(N<60)$. Two of the main improvements were the replacement of the homebuilt VCOs by the homebuilt, more stable direct digital synthesis (DDS) synthesizer [13], and the addition of a PID lock circuit to the beam path of the sheet pair $\left(P_{y}\right)$ which was not stabilized before. The back-


Figure 4.19: Dependence of atom number fluctuations on the adiabaticity. Atom number fluctuations (normalized to the Poissonian case) are measured as a function of ramp time $t_{\text {ramp }}$. Adiabaticity breaks down when the ramp time is shorter than 250 ms . The error bars show the $68 \%$ confidence intervals for each measurement. The horizontal line shows the level of Poissonian fluctuation.


Figure 4.20: Observation of sub-Poissonian number statistics.(b) Histogram of 100 sample points shows sub-Poissonian statistics for mean atom number $N=80$. The solid curve is the Gaussian fit to the distribution. The dashed curve is the Poissonian distribution with the same mean atom number.
ground capture during the detection was also minimized by adjusting the detuning and intensity of the MOT without losing the capture efficiency.

The effect of the improvements is immediately seen in the experiment. For mean atom number of 28 , the measured number fluctuation is $12.2 \%$ with a $99 \%$ confidence interval of [ $10.3 \%, 14.9 \%$ ] (Fig. 4.21(b)); for mean atom number of 21, the measured number fluctuation is $12.4 \%$ with a $99 \%$ confidence interval of [11.0\%, 14.2\%] (Fig. 4.21(a)). Therefore, both of the measured fluctuations are below the corresponding Poissonian values, which are $18.9 \%$ and $21.8 \%$, respectively. However, we do not observe sub-Poissonian number statistics for atom numbers smaller than 20.

The remaining number fluctuations probably still result from the technical noise and the quantum tunneling through the potential barrier. The technical noise could be improved, for example, by stabilizing the intensity of each optical sheet independently in the sheet pair. To suppress the quantum tunneling, one could thicken the potential barrier by placing several optical sheets right next to each other.

The theoretical analysis of the dynamics in our system is still missing. This will require a time-dependent many-body theory without a mean-field approximation. Recent work on quantum kinetic theory [72, 73, 74] and the calculation in a finite-height trap [75] might be useful for exploring this topic. A similar theoretical work has been done in the analysis of relative number fluctuations between two BEC separated by a finite-height barrier that is ramped up in time [76], where subPoissonian fluctuations in the relative atom number is found to be possible under appropriate conditions.


Figure 4.21: Number statistics for mean atom number (a) $N=21$ and (b) $N=28$. The solid curve is the Gaussian fit to the distribution. The dashed curve is the Poissonian distribution with the same mean atom number.

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